



# Micro & nano-cooling: electronic cooling and thermometry based on superconducting tunnel junctions

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Hekking, B. Pannetier, C. Winkelmann

# Summary

- Introduction
- The tunnel effect: a refresher
- Electron cooling and thermometry based on tunneling
- *Electron and phonon cooling in a S-I-N-I-S junction*
- Applications
- Conclusion

# Introduction

# Electronic cooling and thermometry

Electronic cooling from 300 mK down to 100 mK.

Electronic temperature directly measured.

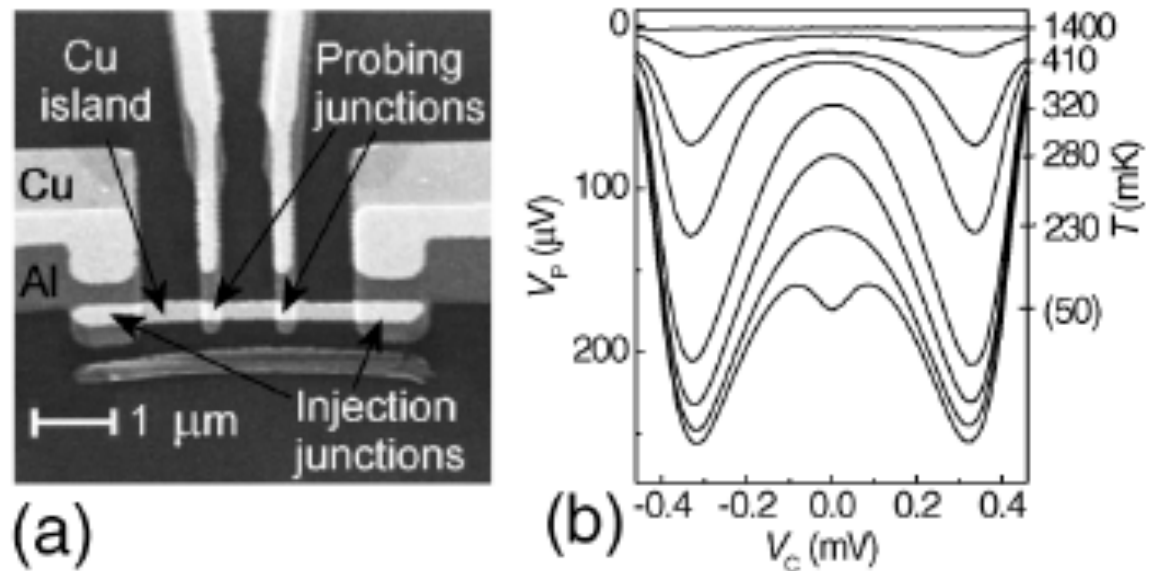


FIG. 1. Scanning electron micrograph of a typical cooler sample in (a), and cooling data in (b), where voltage  $V_P$  across the probe junctions in a constant current bias (28 pA) is shown against voltage  $V_C$  across the two injection junctions. Cryostat temperature, corresponding to the electron temperature on the N island at  $V_C = 0$  is indicated on the right vertical axis. Below 100 mK this correspondence is uncertain, because of the lack of calibration and several competing effects to be discussed in the text.

J. Pekola, T.T. Heikkilä, A. M. Savin, J.T. Flyktman, F. Giazotto, F.W.J. Hekking, Phys. Rev. Lett. 92, 056804 (2004): Helsinki.

# Applications

Cooling of :

- sensors (bolometers)
- active devices (qbits, ...)

Only the small electronic sensor is cooled.

A.M. Clark, N. A. Miller, A. Williams, S. T. Ruggiero, G. C. Hilton, L. R. Vale, J. A. Beall, K. D. Irwin, and J. N. Ullom, Appl. Phys. Lett. 84, 625 (2005): NIST Boulder.

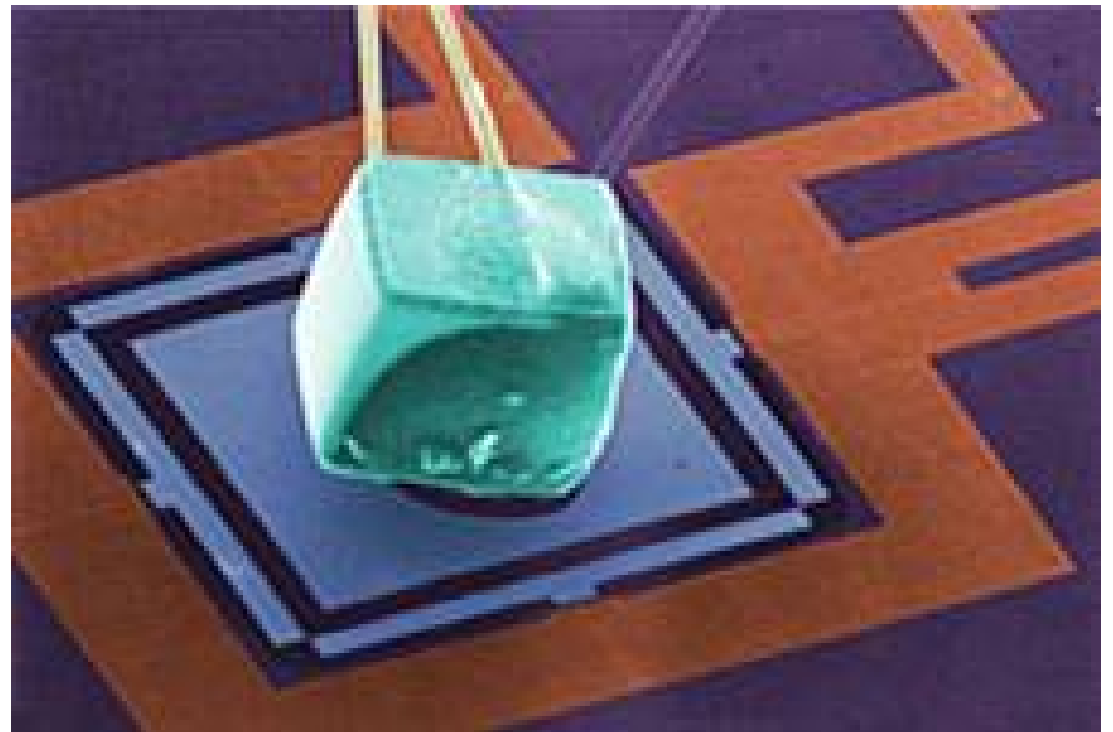


FIG. 2. Scanning electron microscope image of NIS refrigerator with attached neutron transmutation doped (NTD) germanium resistance thermometer. One of the four pairs of refrigerator junctions is circled. Additional junctions for thermometry are located beneath the NTD. The ratio of the volumes of the NTD and the refrigerating junctions is comparable to the ratio of the volumes of the Statue of Liberty and an ordinary person (about 11 000).

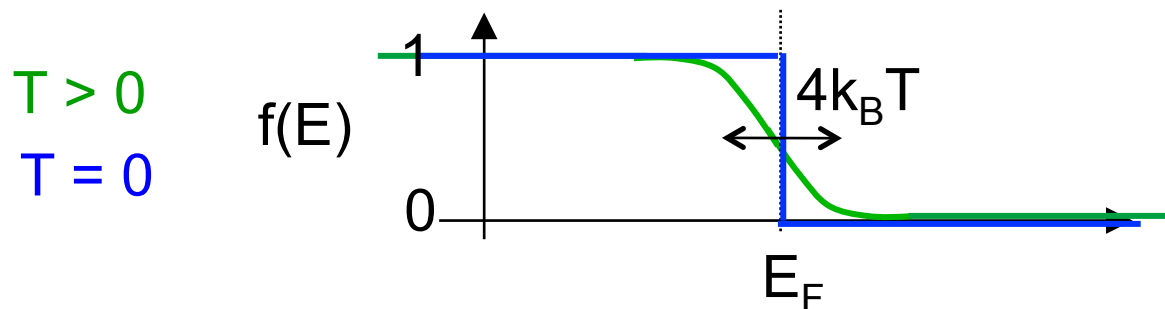
Pre-requisite

# Electronic temperature

Non-zero temperature: the energy distribution function  $f(E)$  gives the probability for an  $e^-$  state at the energy  $E$  to be occupied.

At thermal equilibrium,  $f$  is the Fermi-Dirac function:

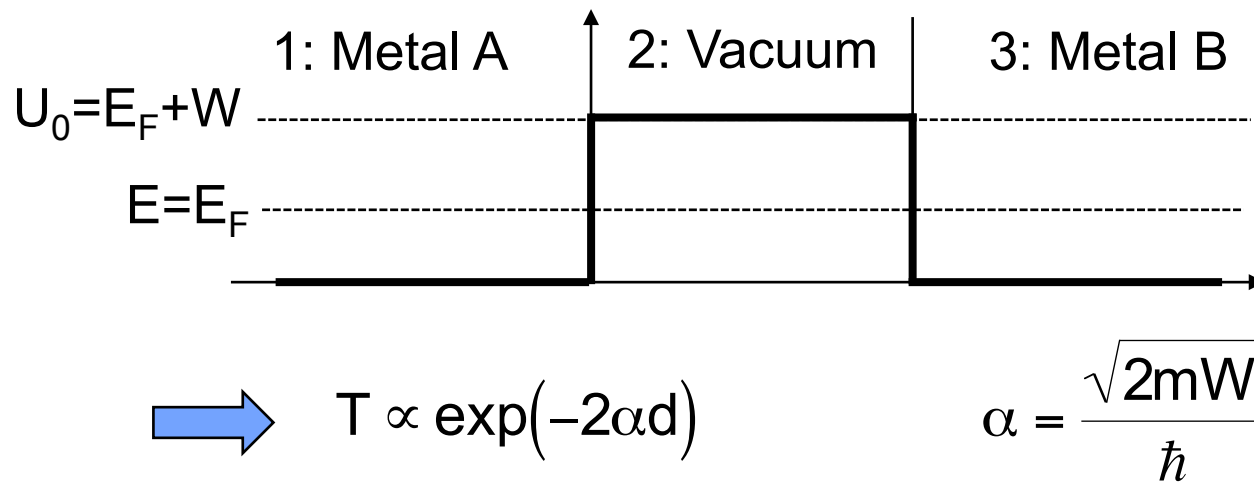
$$f(E) = \frac{1}{1 + \exp\left[\frac{E - E_F}{k_B T}\right]}$$



At zero temperature, it reduces to a step function.

# Tunneling of electrons

Potential profile at the junction between two metals:



Order of magnitude:  $T = \exp(-10^{10} d)$

$T$  is non negligible for  $d$  of the order of the Å.



# A superconductor DOS spectra

Modified Density of States (DOS) at the Fermi Level

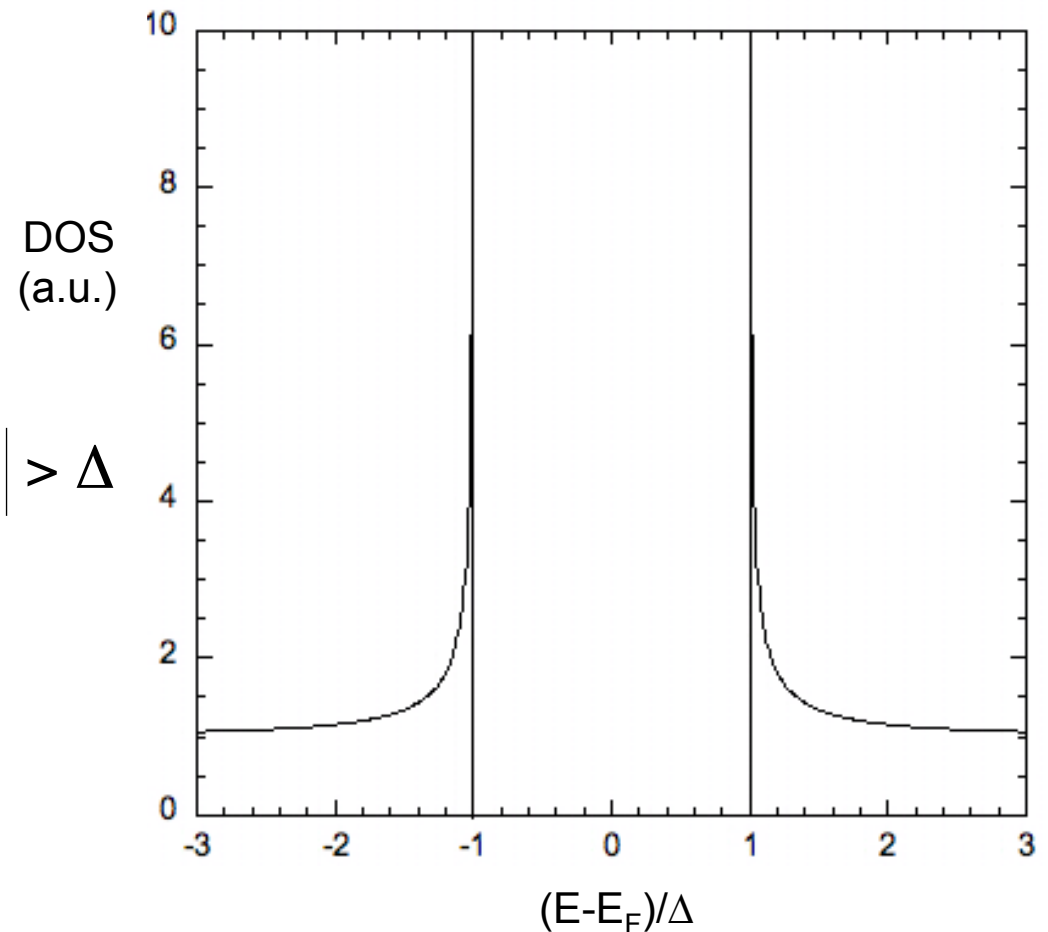
From BCS theory:

$$N_S(E) = 0 \quad \text{if } |E - E_F| < \Delta$$

$$N_S(E) = N_N \frac{|E|}{\sqrt{(E - E_F)^2 - \Delta^2}} \quad \text{if } |E - E_F| > \Delta$$

The energy gap is :

$$\Delta(T=0) = 1.76k_B T_c$$



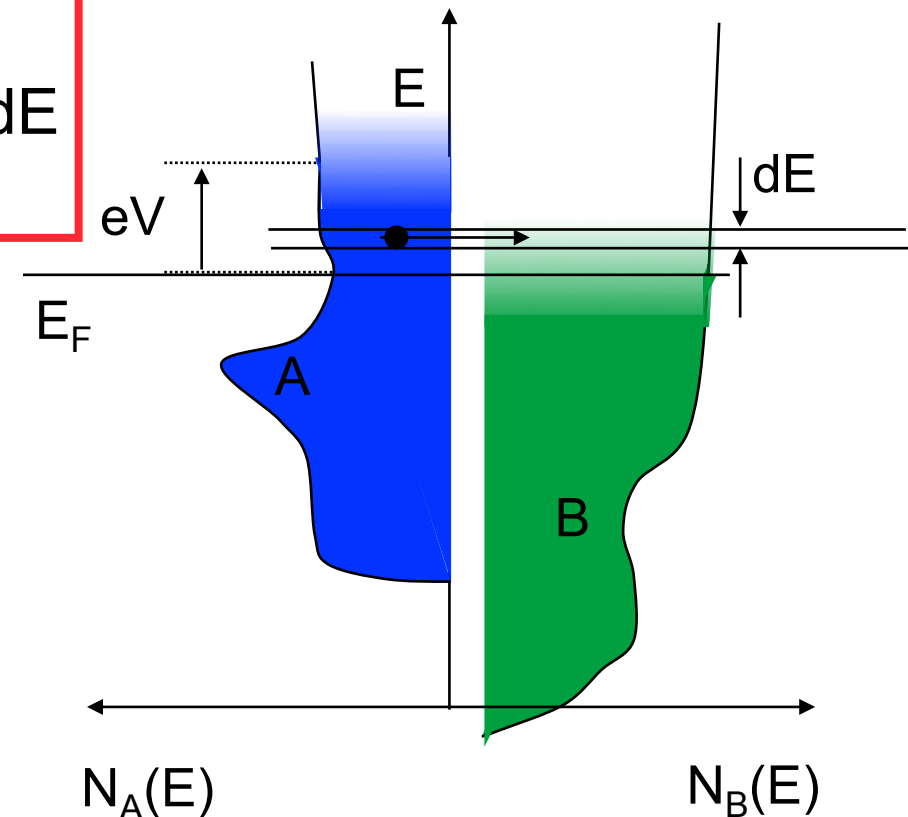
# The tunnel current expression

Tunneling is an elastic process.

From Fermi golden rule:

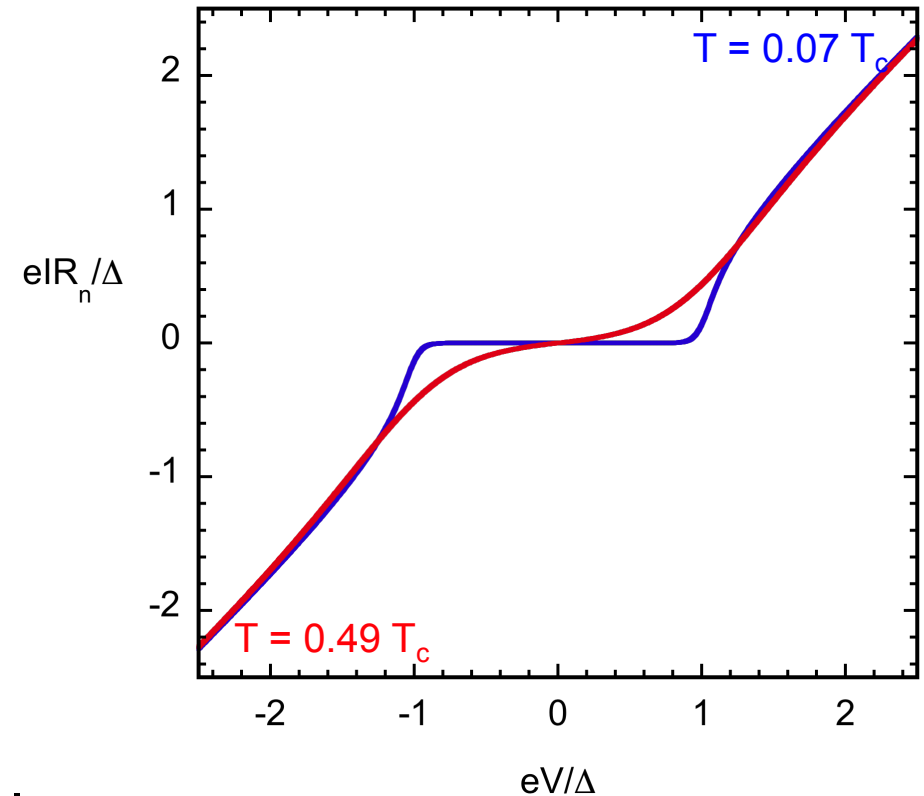
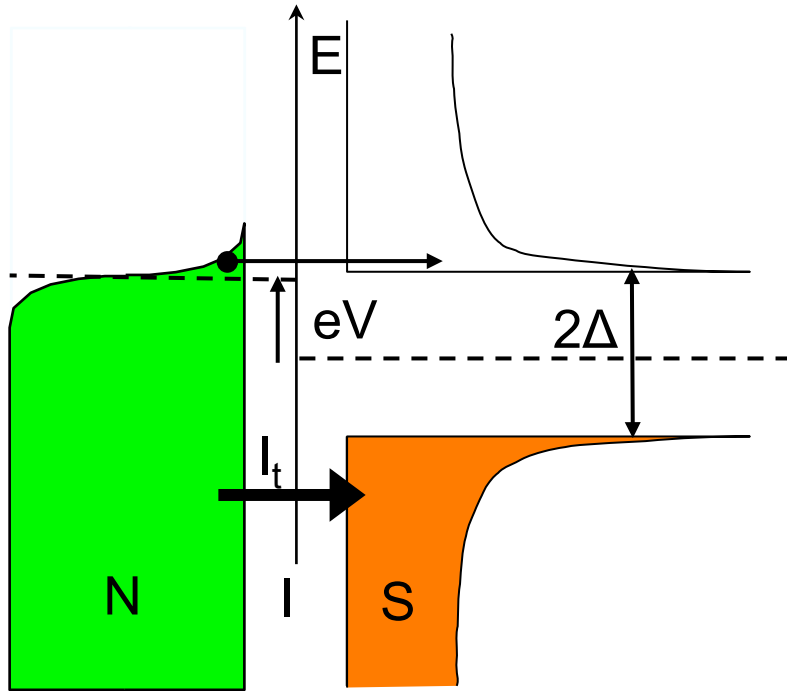
$$I \propto \int_{-\infty}^{+\infty} N_A(E - eV) N_B(E) [f_A(E - eV) - f_B(E)] dE$$

A tunnel current occurs because of an electron states occupancy difference.



# Electron thermometry in a S-I-N junction

# Charge current in a N-I-S tunnel junction

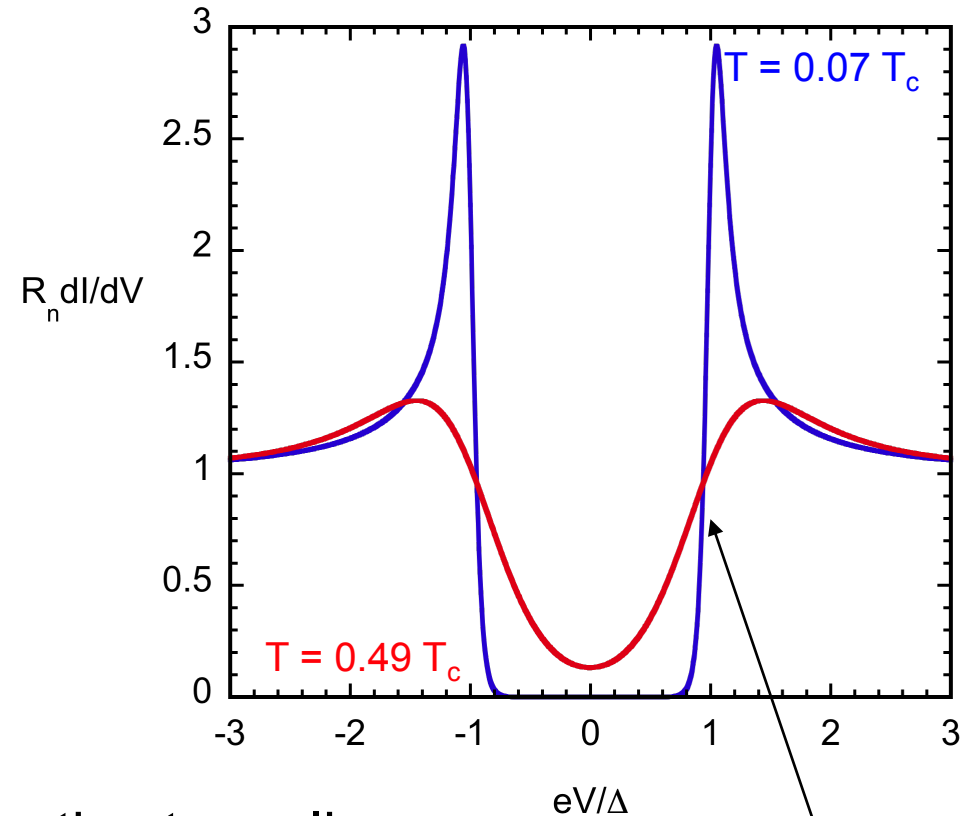
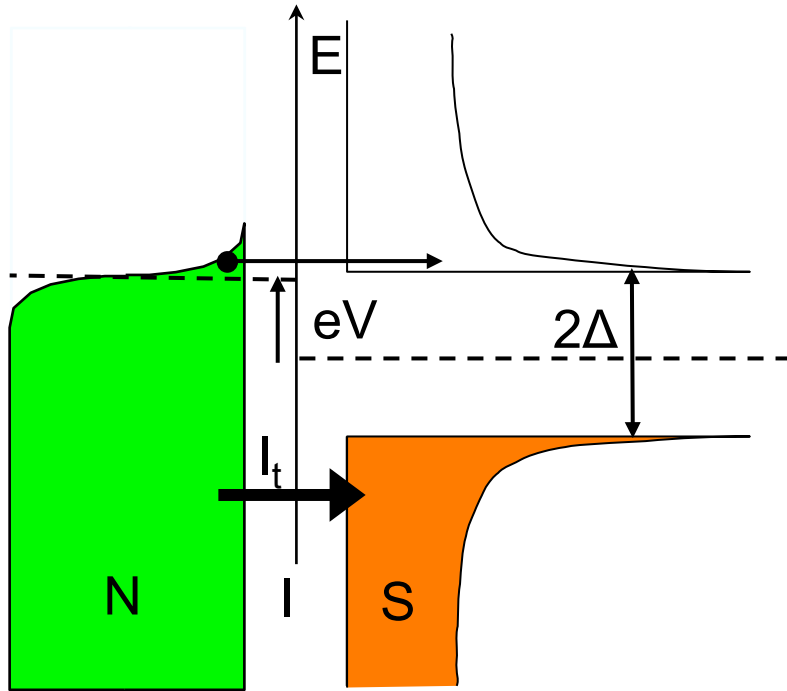


Only electrons above the gap can tunnel.

IV step rounded by temperature.

$$I_T = \frac{1}{eR_n} \int_{-\infty}^{+\infty} N_S(E) [f_S(E - eV) - f_N(E)] dE$$

# Charge current in a N-I-S tunnel junction



The energy gap induces an energy-selective tunneling.

Charge current (antisymmetric in bias):

$$I_T = \frac{1}{eR_n} \int_{-\infty}^{+\infty} N_S(E) [f_S(E - eV) - f_N(E)] dE$$

# A N-I-S junction as a thermometer (1)

$$I = \frac{1}{eR_N} \int_{-\infty}^{+\infty} N_S(E) [f_N(E - eV) - f_S(E)] dE$$

Consider  $T_S$  small,  $T_N$  to be measured,

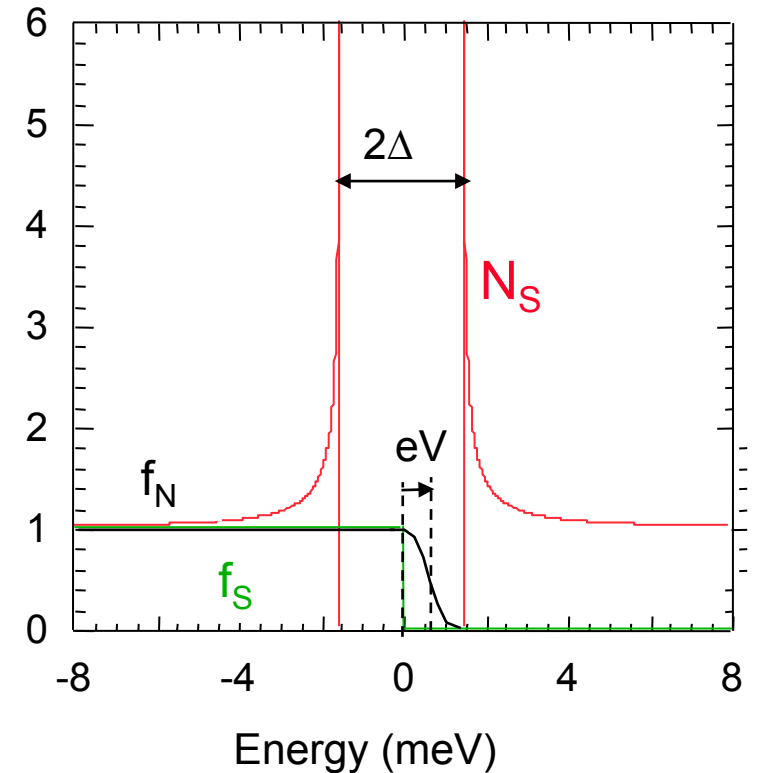
$$eV < \Delta \approx E$$

$$f_N(E - eV) - f_S(E) \approx \exp\left(-\frac{E - eV}{k_B T}\right)$$

Sub-gap current:

$$eI = \frac{1}{R_N} \int_{-\infty}^{+\infty} N_S(E) \exp\left(-\frac{E - eV}{k_B T}\right) dE = eI_0 \exp\left(\frac{eV}{k_B T}\right)$$

DOS



## A N-I-S junction as a thermometer (2)

$$I = I_0 \exp\left(\frac{eV}{k_B T}\right)$$

The sub-gap current depends strongly on  $V$  and  $T$ .

$$\frac{dV}{dT} = \frac{k_B}{e} \ln\left(\frac{I}{I_0}\right) \approx 0.5 \mu\text{V}/\text{mK}$$

M. Nahum and J. Martinis, Appl. Phys. Lett. 63, 3075 (1993).

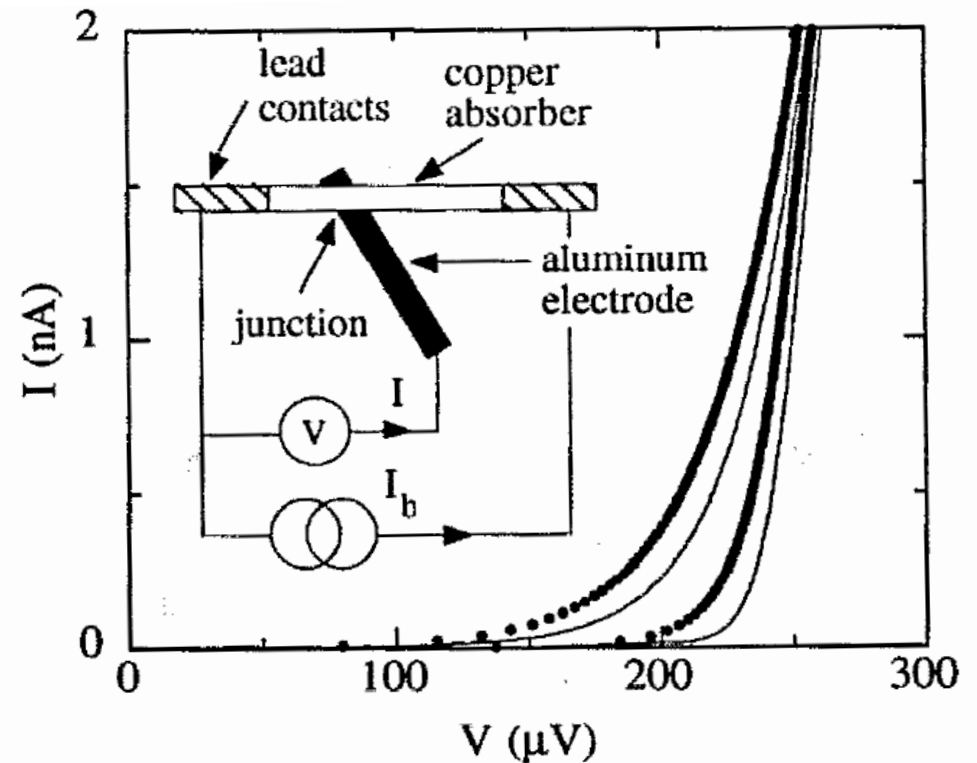
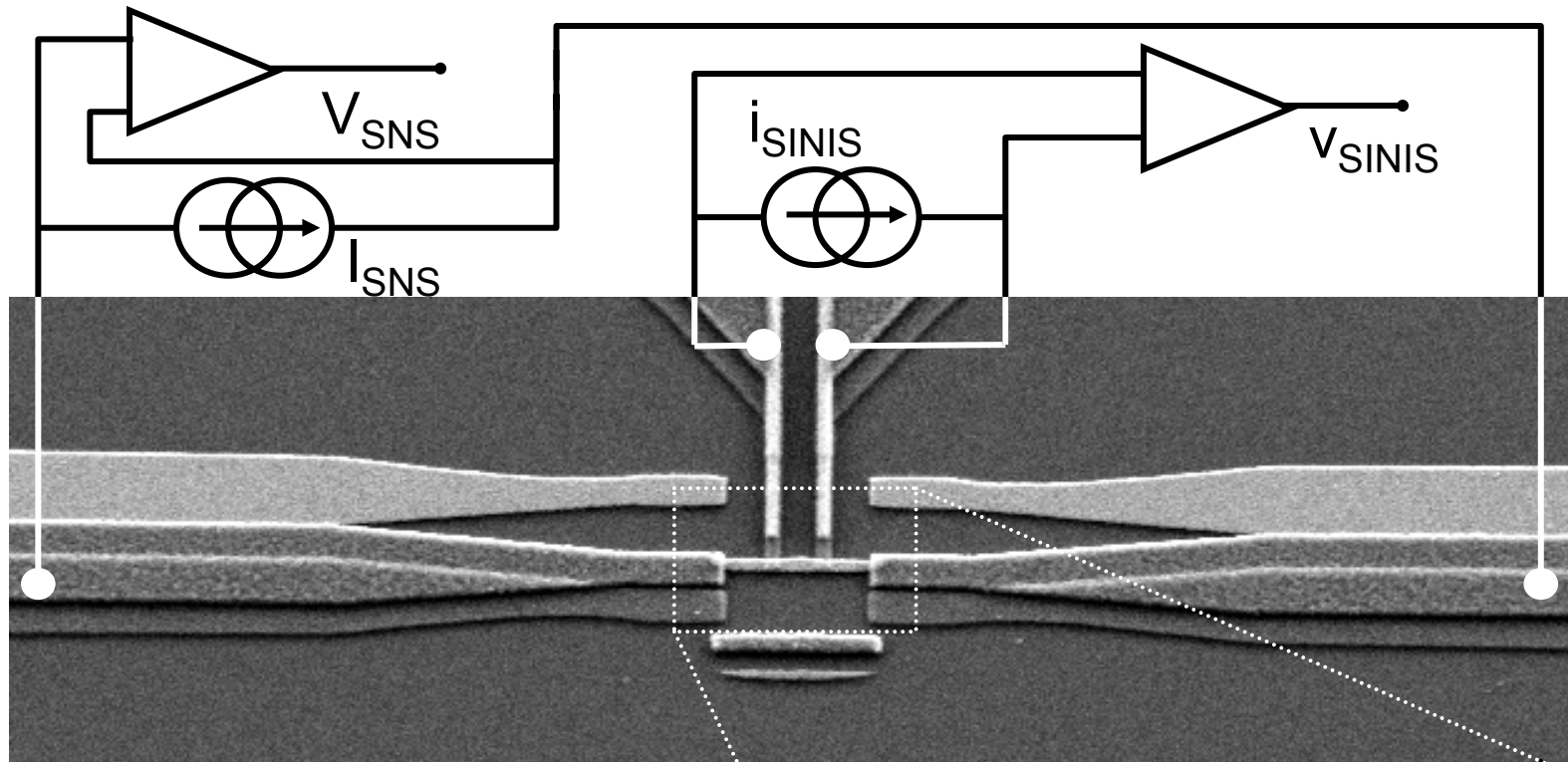
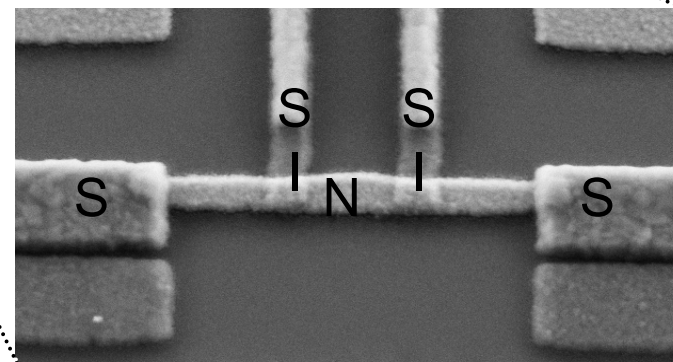


FIG. 1. Current-voltage characteristics of the SIN junction. The solid curves correspond to temperatures of 40 and 300 mK from right to left, with zero power dissipated in the absorber. The dotted curves correspond to a base temperature of 40 mK, but with 20 fW and 2 pW dissipated in the metal absorber, respectively. The inset is a schematic of the device. The power dissipated in the resistance  $R$  of the absorber is  $I_b^2 R$ .

# Application of N-I-S junction thermometry



$L = 1.5 \mu\text{m}$ ,  $w = 0.17 \mu\text{m}$ ,  $t = 30 \text{ nm}$   
 $R_N = 10 \Omega$  :  $D = 100 \text{ cm}^2/\text{s}$ ,  $l_e = 22 \text{ nm}$   
 Thouless energy  $\varepsilon_c = 28 \text{ mK} = 2.4 \mu\text{eV}$



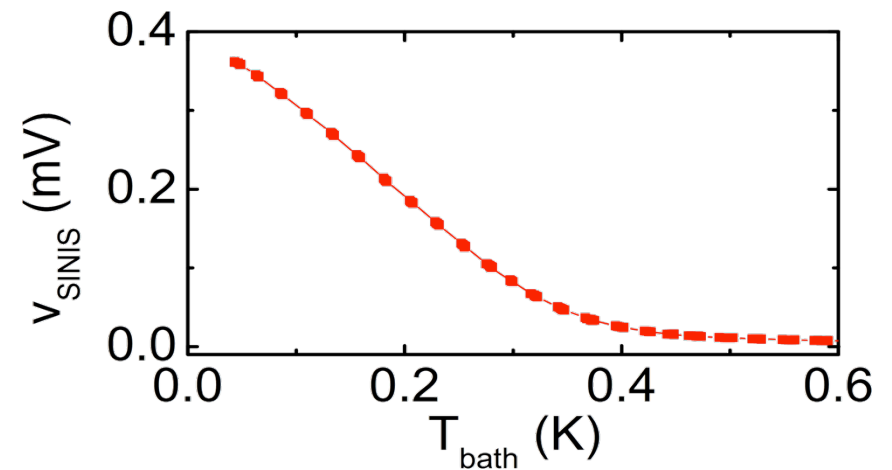
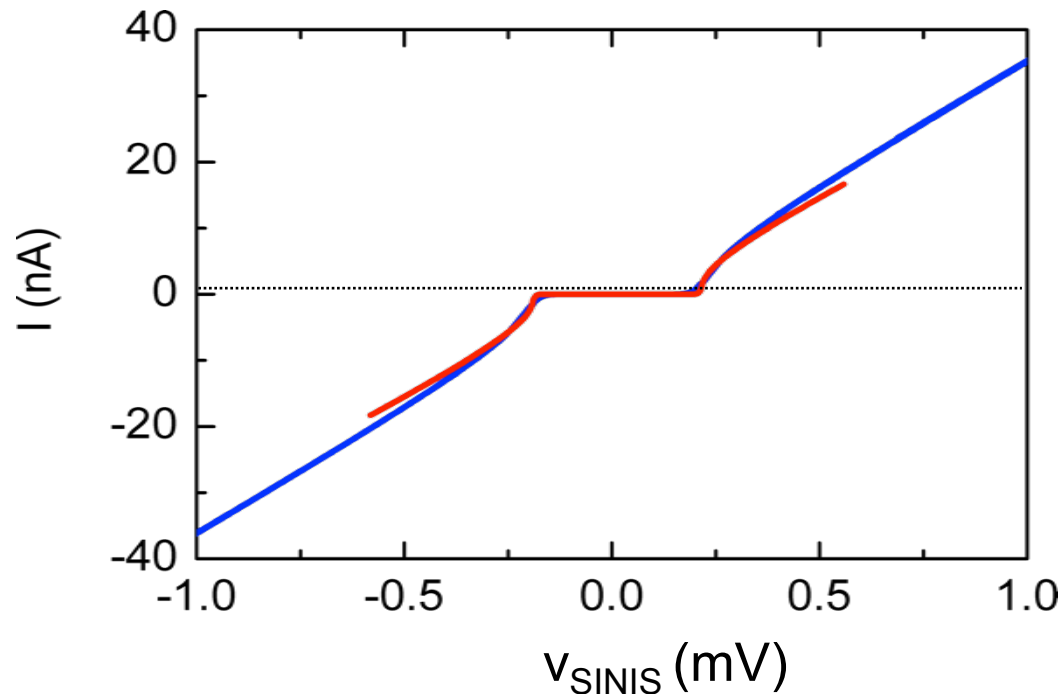
0.5  $\mu\text{m}$  —



# The SINIS thermometer calibration

$I(V)$  of the double N-I-S junction at thermal equilibrium.

Calibration at a fixed current bias: no saturation down to 40 mK.



Hypothesis of quasi-equilibrium in the N metal:  $T_e$  can be defined.

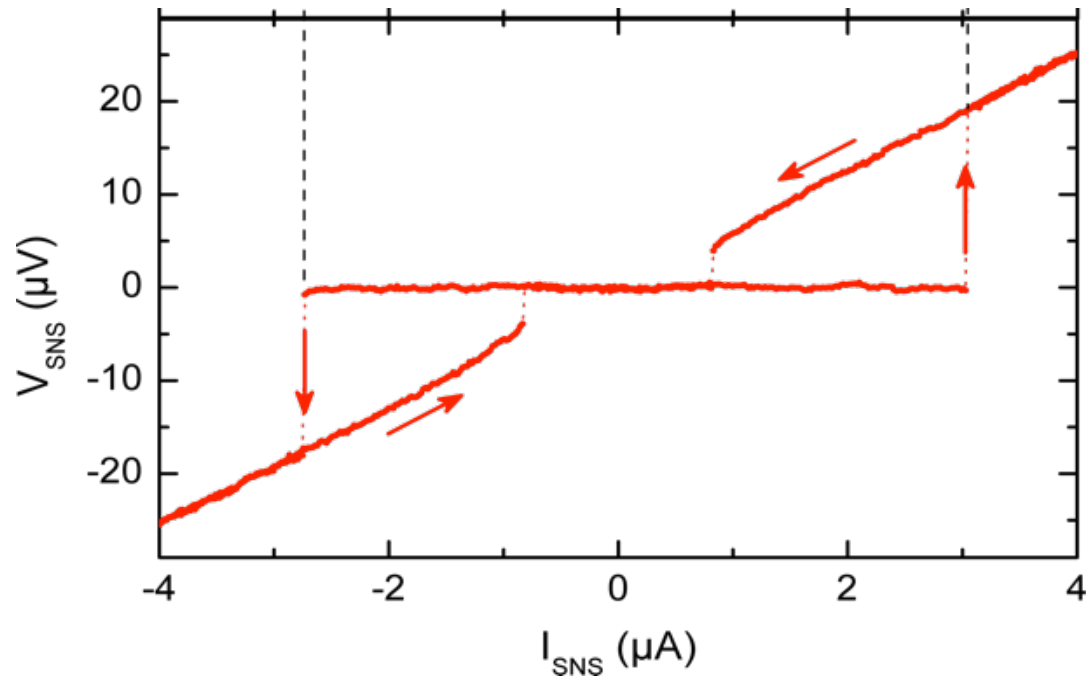
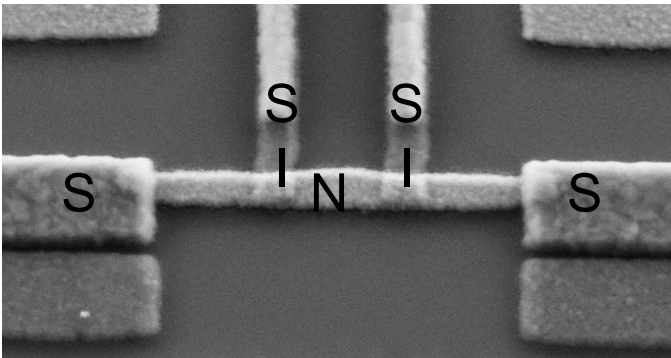
# I(V) and electron temperature

The electron thermometer  
correlates to switching.



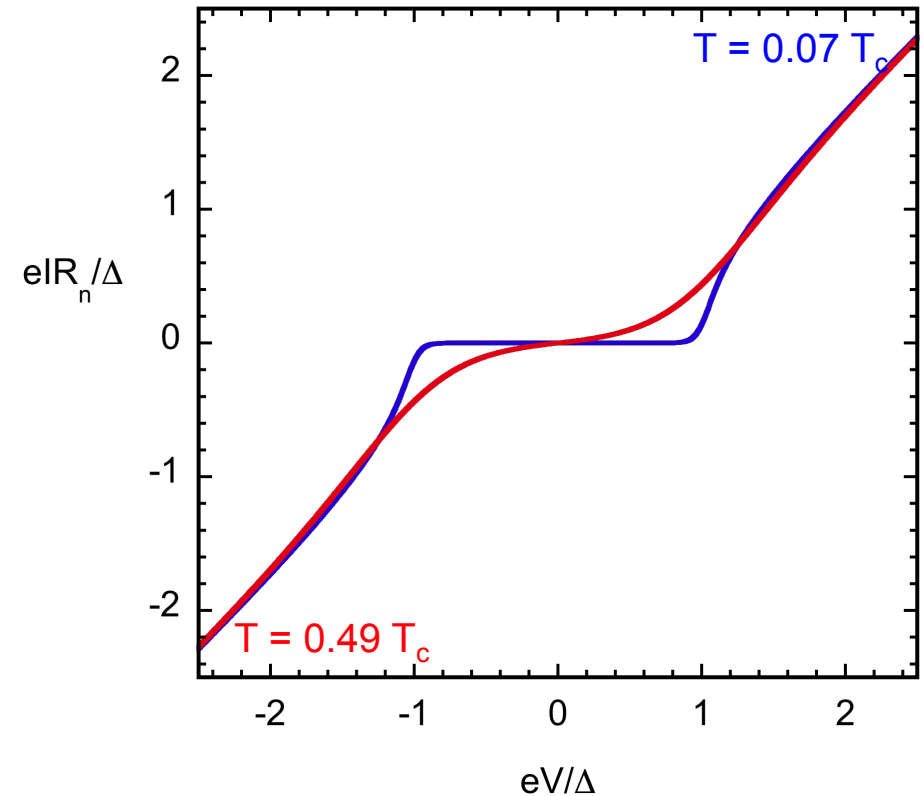
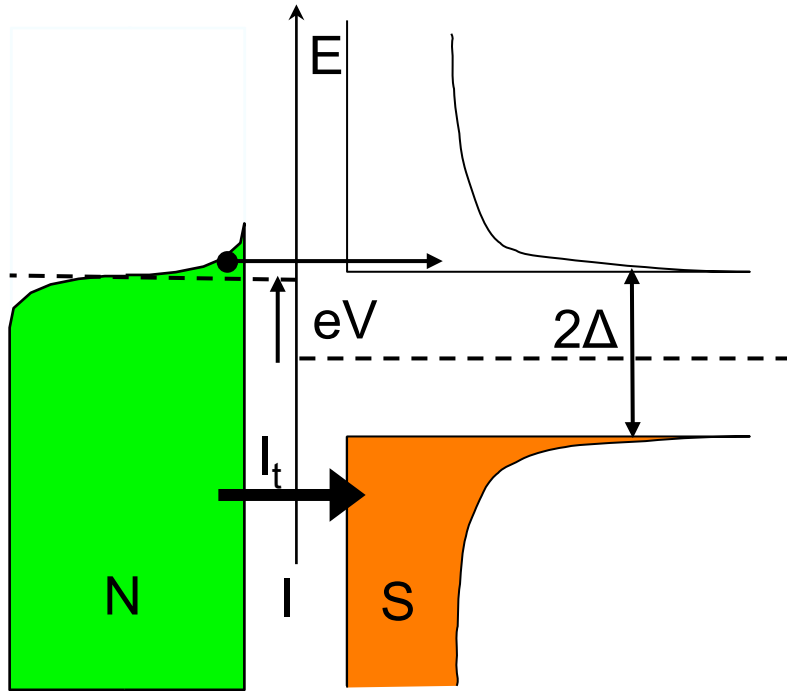
thermal origin of the  
hysteresis.  $T_e$  up to 0.6 K !

H. Courtois, M. Meschke, J. T.  
Peltonen, and J. P. Pekola, PRL 101,  
067002 (2008): Grenoble-Helsinki.



# Electron cooling in a S-I-N-I-S junction

# Charge current in a N-I-S tunnel junction

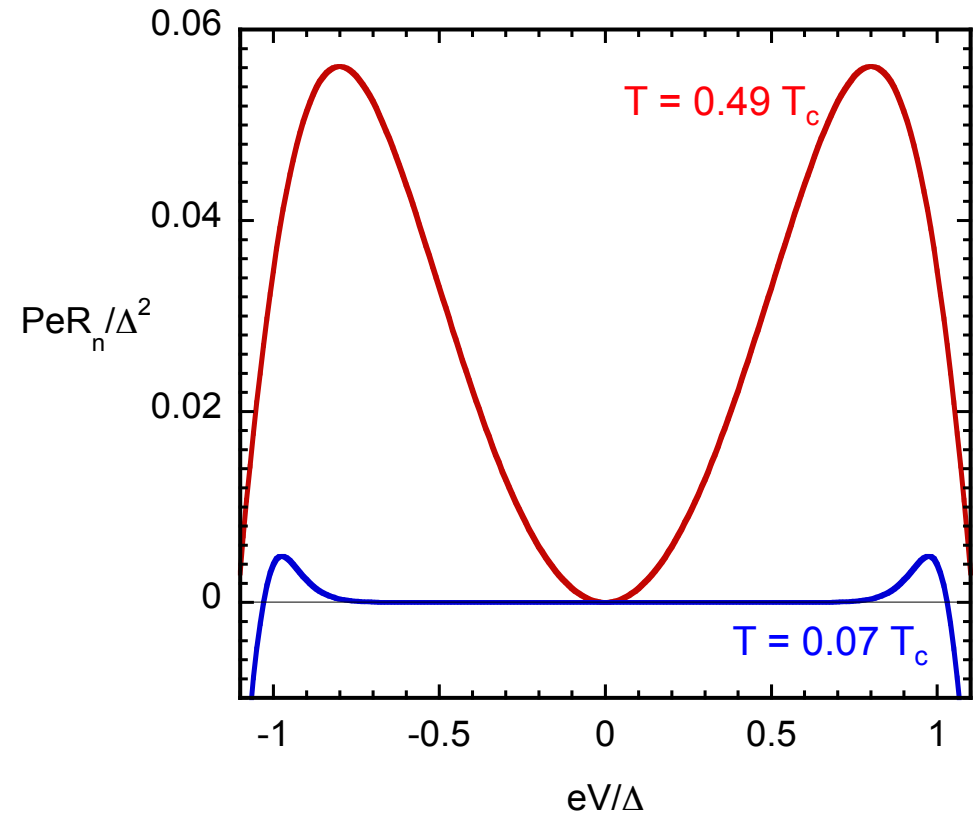
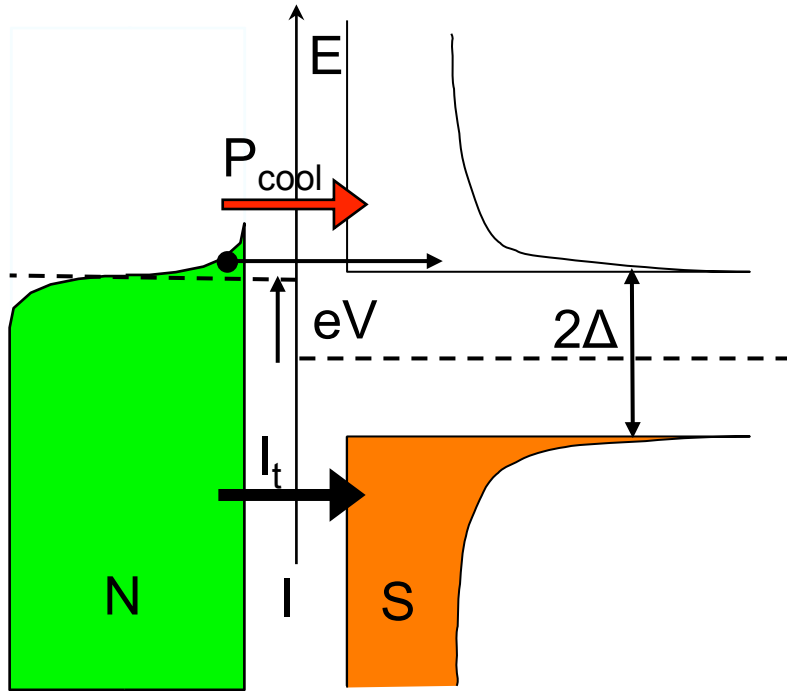


Only electrons above the gap can tunnel.

IV step rounded by temperature.

$$I_T = \frac{1}{eR_n} \int_{-\infty}^{+\infty} N_S(E) [f_S(E - eV) - f_N(E)] dE$$

# Heat current in a N-I-S tunnel junction



Heat current (symmetric in bias):

$$P_{\text{cool}}(V) = \frac{1}{e^2 R_n} \int_{-\infty}^{+\infty} (E - eV) N_S(E) [f_N(E - eV) - f_S(E)] dE$$

$I_T V + P_{\text{cool}}$  is deposited in S.

# Cooling power

Bias-dependent, optimum

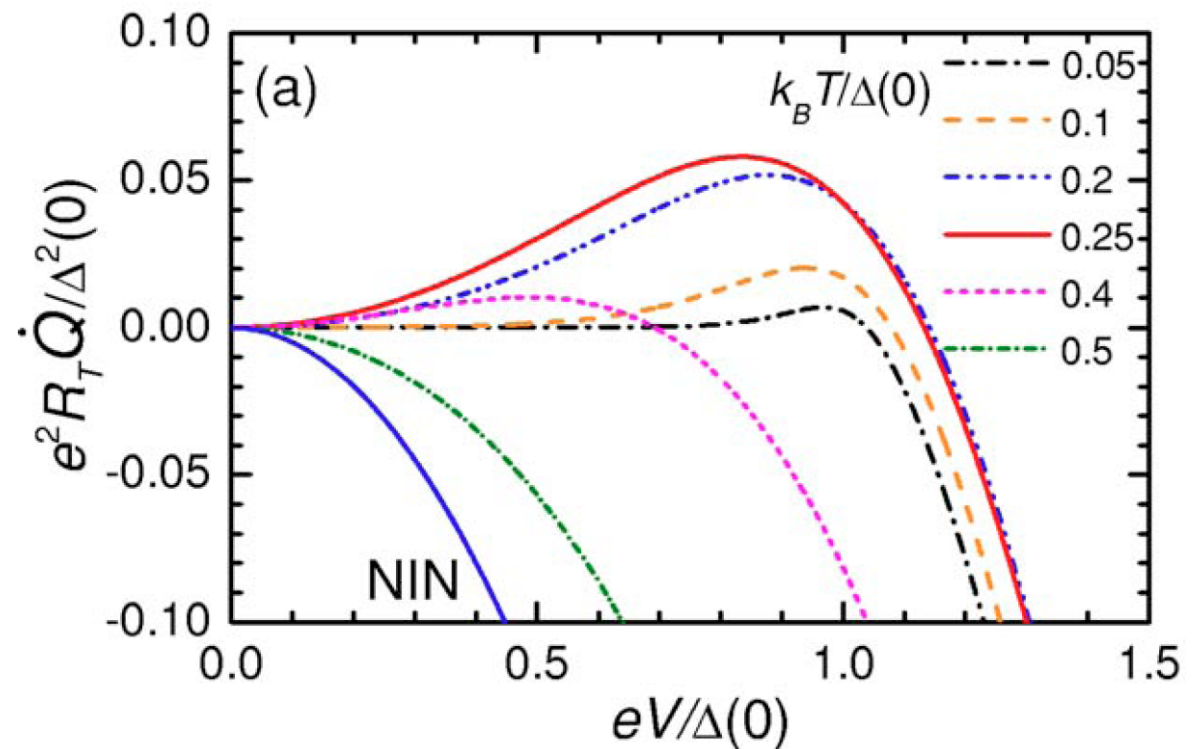
$$P_{\max} = \frac{\Delta^2}{e^2 R_T} \left[ 0.59 \left( \frac{k_B T_N}{\Delta} \right)^{3/2} - \sqrt{\frac{2\pi k_B T_S}{\Delta}} \exp\left(-\frac{\Delta}{k_B T_S}\right) \right]$$

reached at  $T_N \ll T_C$

$$V \approx \Delta/e - k_B T$$

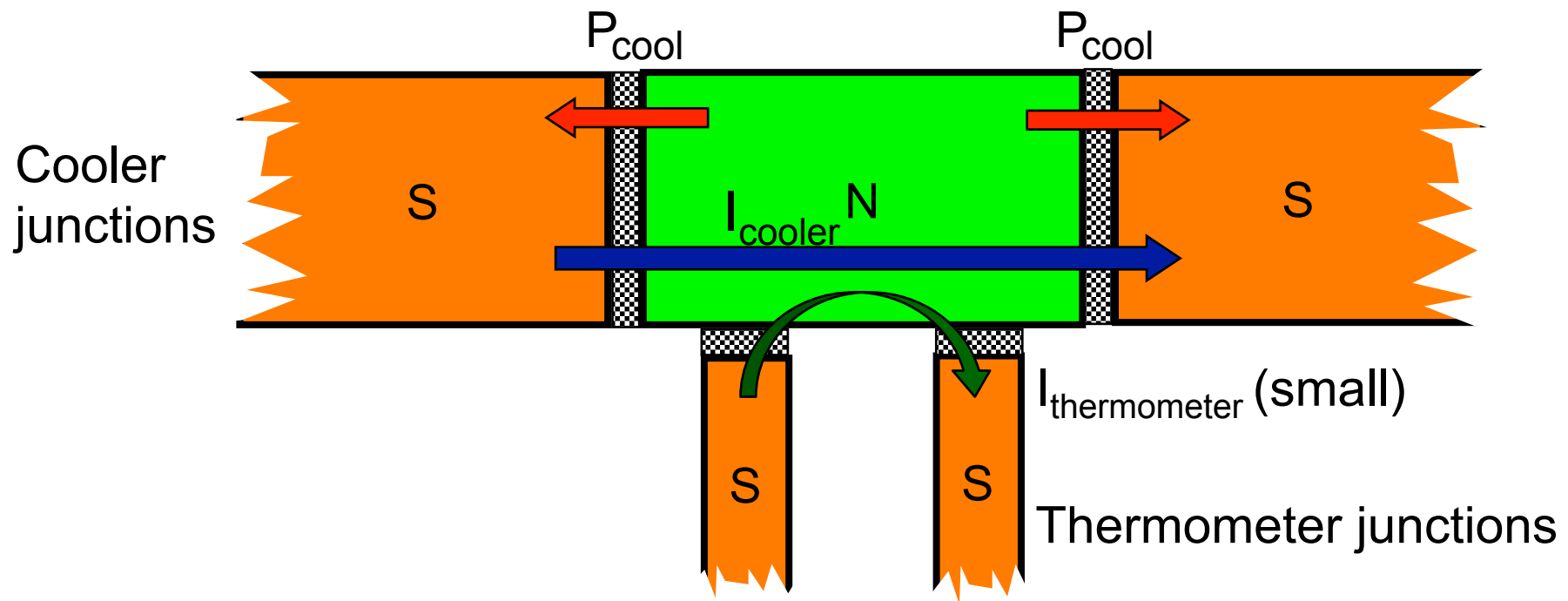
Max cooling power at:

$$T \approx T_C/3$$



# The S-I-N-I-S geometry

Two cooling junctions in series:  
double cooling power + good thermal insulation of cooled metal.



Plus two thermometer junctions for independent probing.

# Electronic cooling and thermometry

Cooling from 300 mK down to 100 mK can be achieved in a S-I-N-I-S geometry.

Above the gap: qp injection and heating

J. Pekola, T.T. Heikkilä, A. M. Savin, J.T. Flyktman, F. Giazotto, F.W.J. Hekking, Phys. Rev. Lett. 92, 056804 (2004): Helsinki.

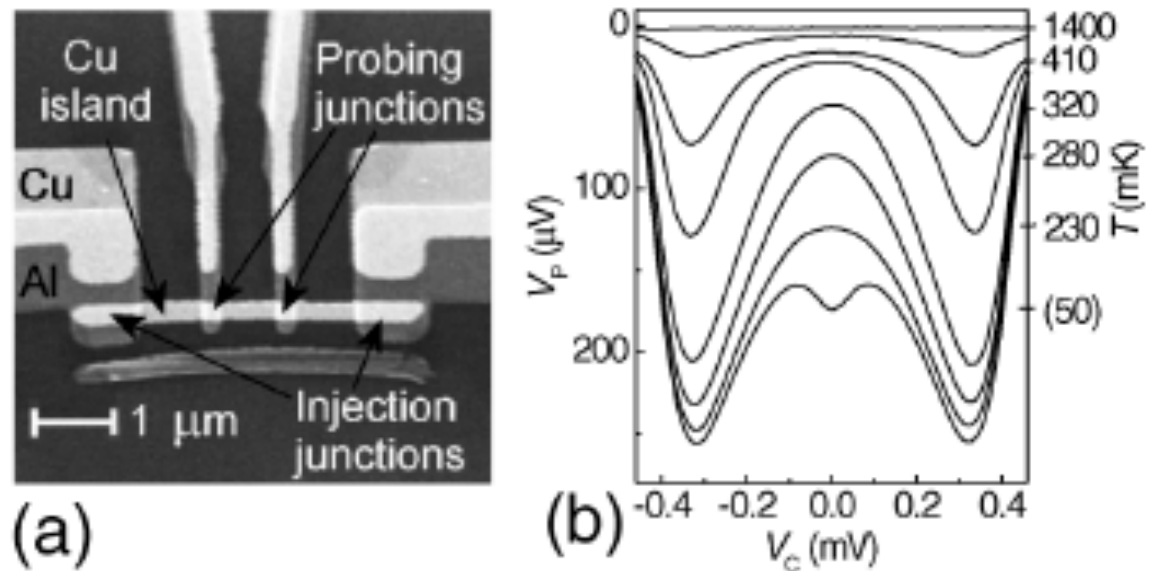
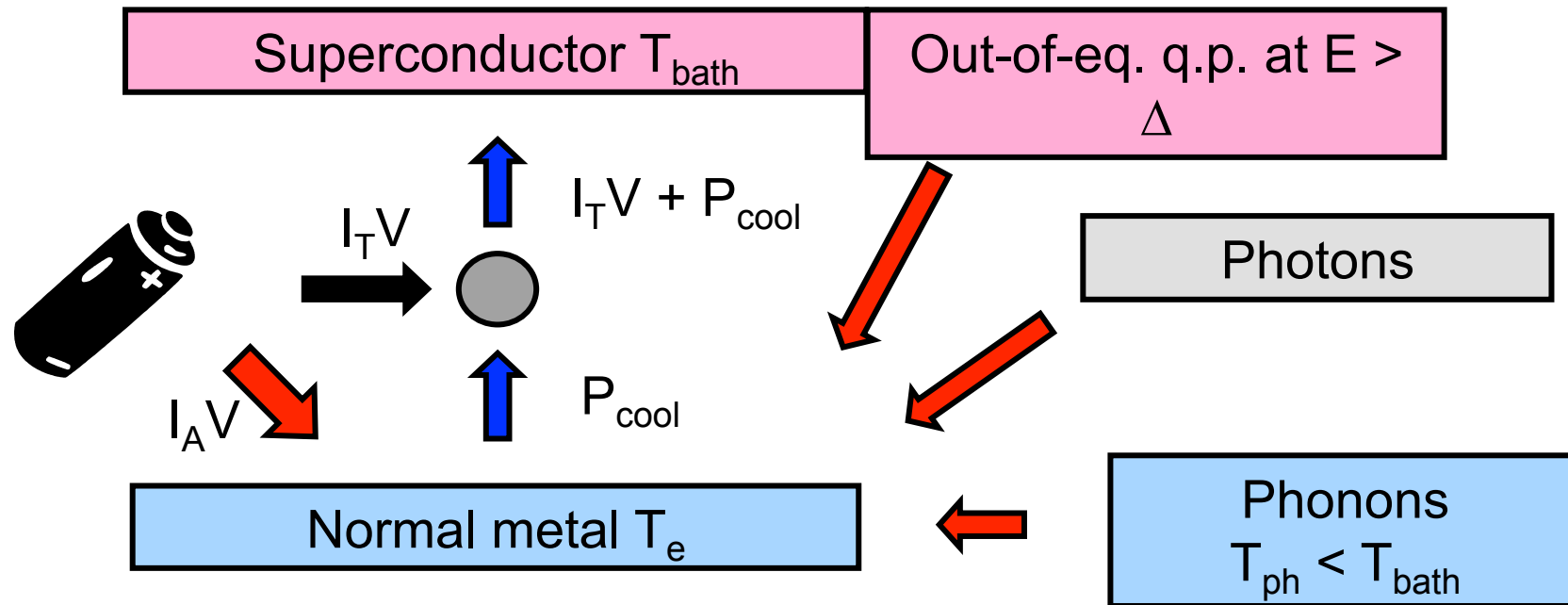


FIG. 1. Scanning electron micrograph of a typical cooler sample in (a), and cooling data in (b), where voltage  $V_P$  across the probe junctions in a constant current bias (28 pA) is shown against voltage  $V_C$  across the two injection junctions. Cryostat temperature, corresponding to the electron temperature on the N island at  $V_C = 0$  is indicated on the right vertical axis. Below 100 mK this correspondence is uncertain, because of the lack of calibration and several competing effects to be discussed in the text.



# Basics of electron refrigeration



Our objective:

Understand the mechanisms coupling the cooled e- to the thermal baths.

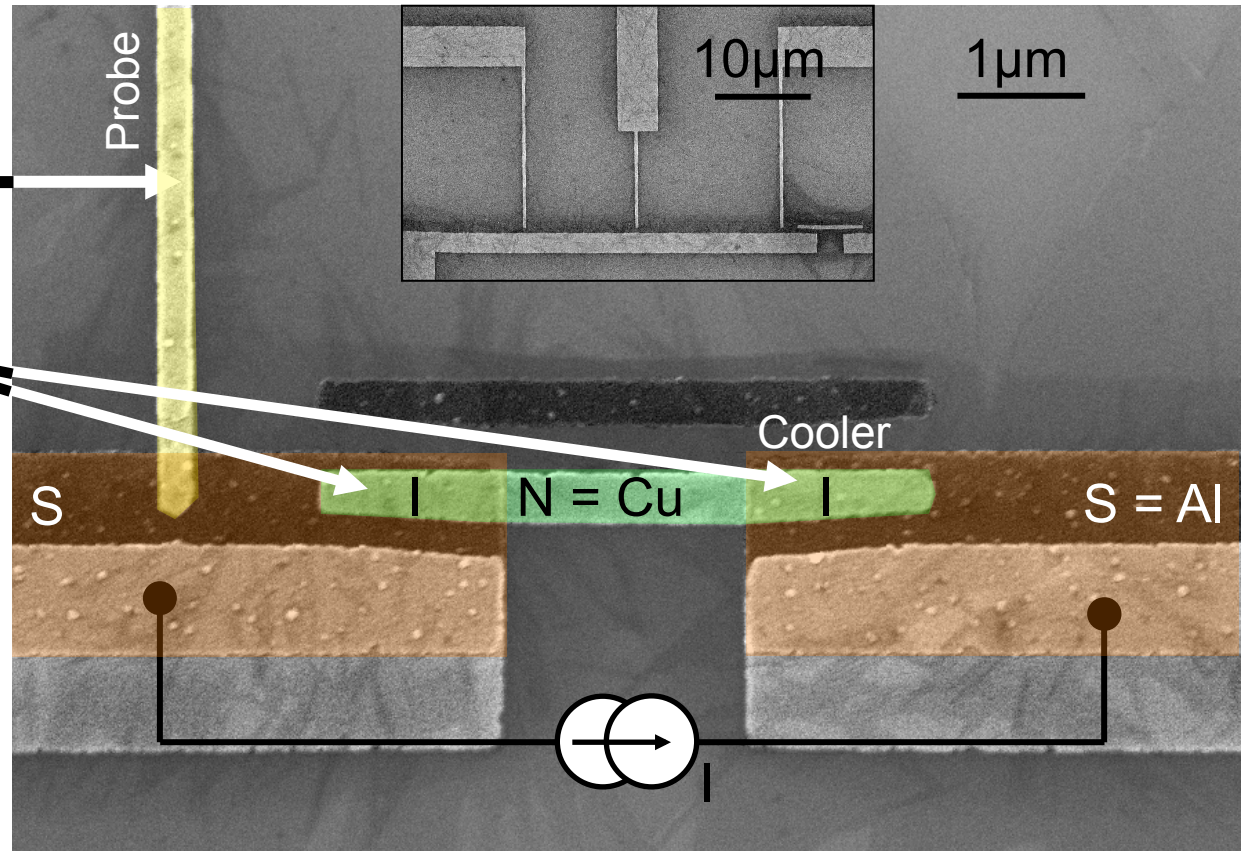
# Phonon cooling vs electron cooling

# The S-I-N-I-S samples

Probe: metal strongly thermalized, no cooling.

Cooler: weakly coupled to pads, strong cooling,  $R_t$  about 1 k $\Omega$ .

No electron thermometer.



Al-AlO<sub>x</sub>-Cu tunnel junctions: high stability and good reproducibility.

Al behaves as a perfect BCS superconductor.

# The differential conductance

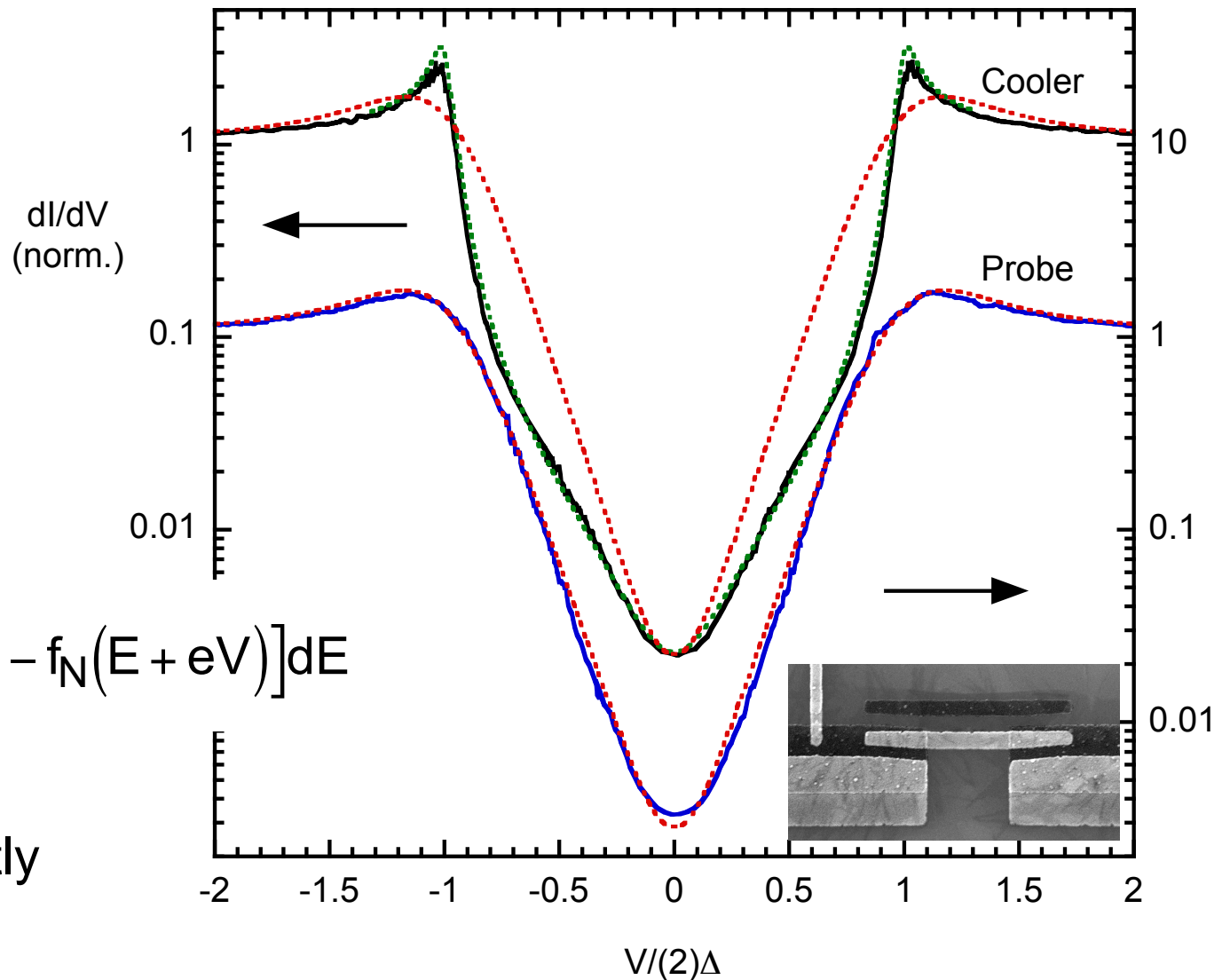
$T_{\text{base}} = 320 \text{ mK}$

High resolution  
measurement  
(log scale)

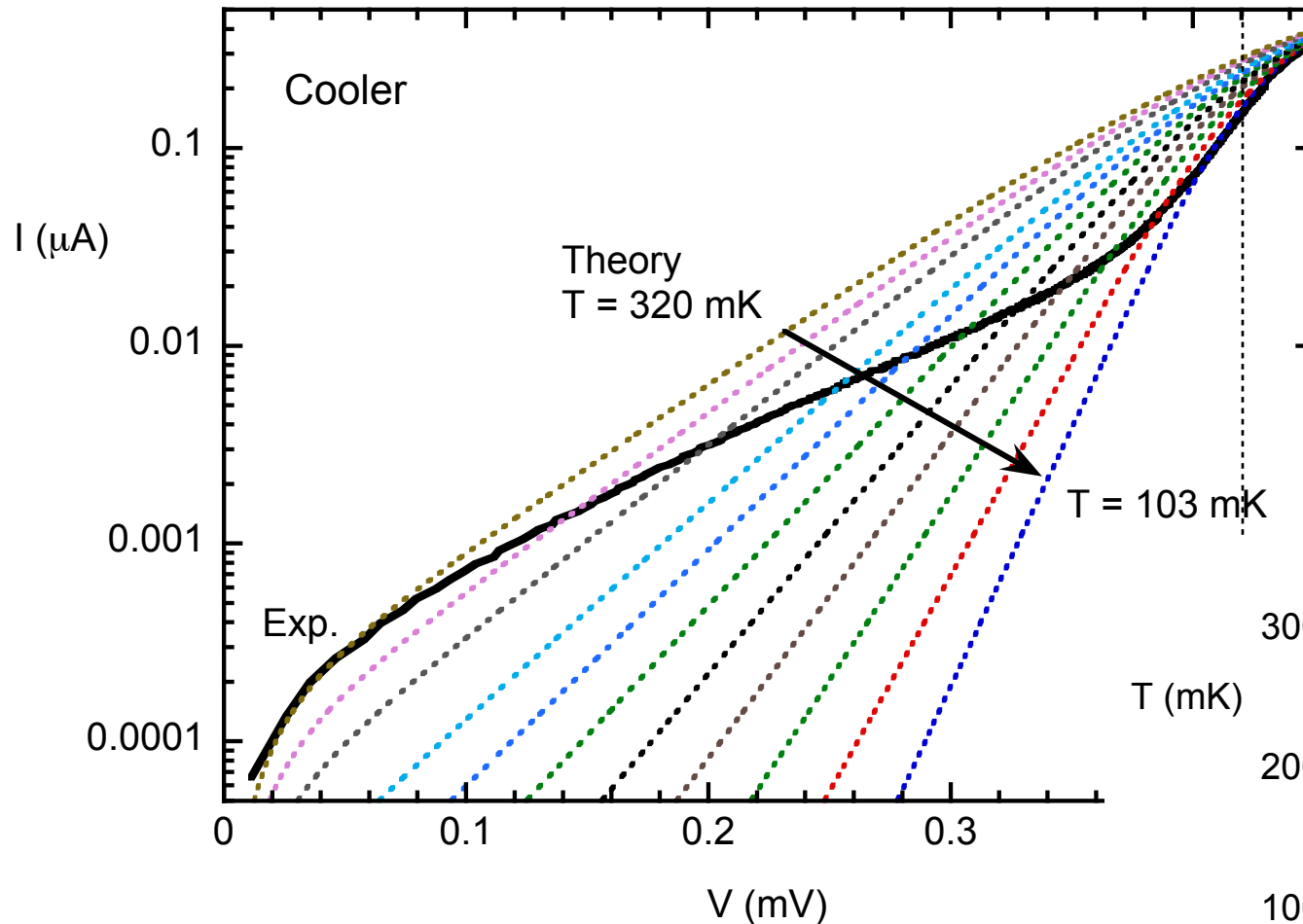
Probe follows isothermal  
prediction at  $T_{\text{base}}$ .

$$eI(V) = G_T \int_{-\infty}^{+\infty} N_S(E) [f_S(E) - f_N(E + eV)] dE$$

Cooler behaves differently



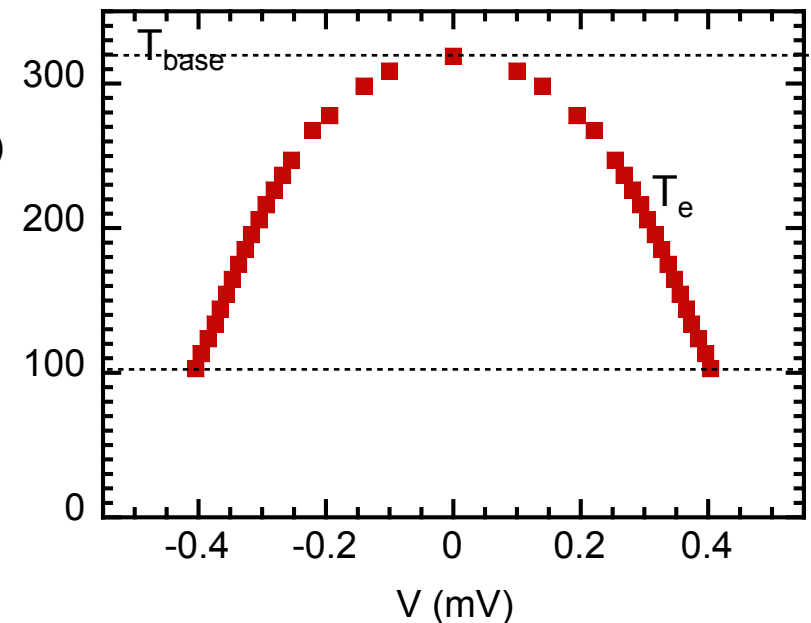
# Extraction of the electron temperature



Inelastic scattering strong enough to define an electron temperature.

Superposition of exp. data with theoretical I-V

➡ Determination of the bias-dependent electron temperature



# The thermal model

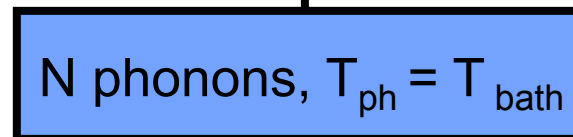
Power flow from N electrons to the S electrodes remaining at bath temperature

$$P_{\text{cool}}(V) = \frac{1}{eR_N} \int_{-\infty}^{+\infty} (E - eV) N_S(E) [f_S(E) - f_N(E + eV)] dE$$



Electron - phonon coupling

$$\uparrow P_{e-ph} = \Sigma U(T_{ph}^5 - T_e^5)$$



Hyp.: N phonons are strongly thermalized

Kapitza thermal coupling

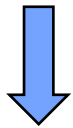
$$\uparrow P_K = KA(T_{\text{bath}}^4 - T_{ph}^4)$$



# Hypothesis of phonon thermalized to the bath

Here  $T_{\text{ph}} = T_{\text{base}}$

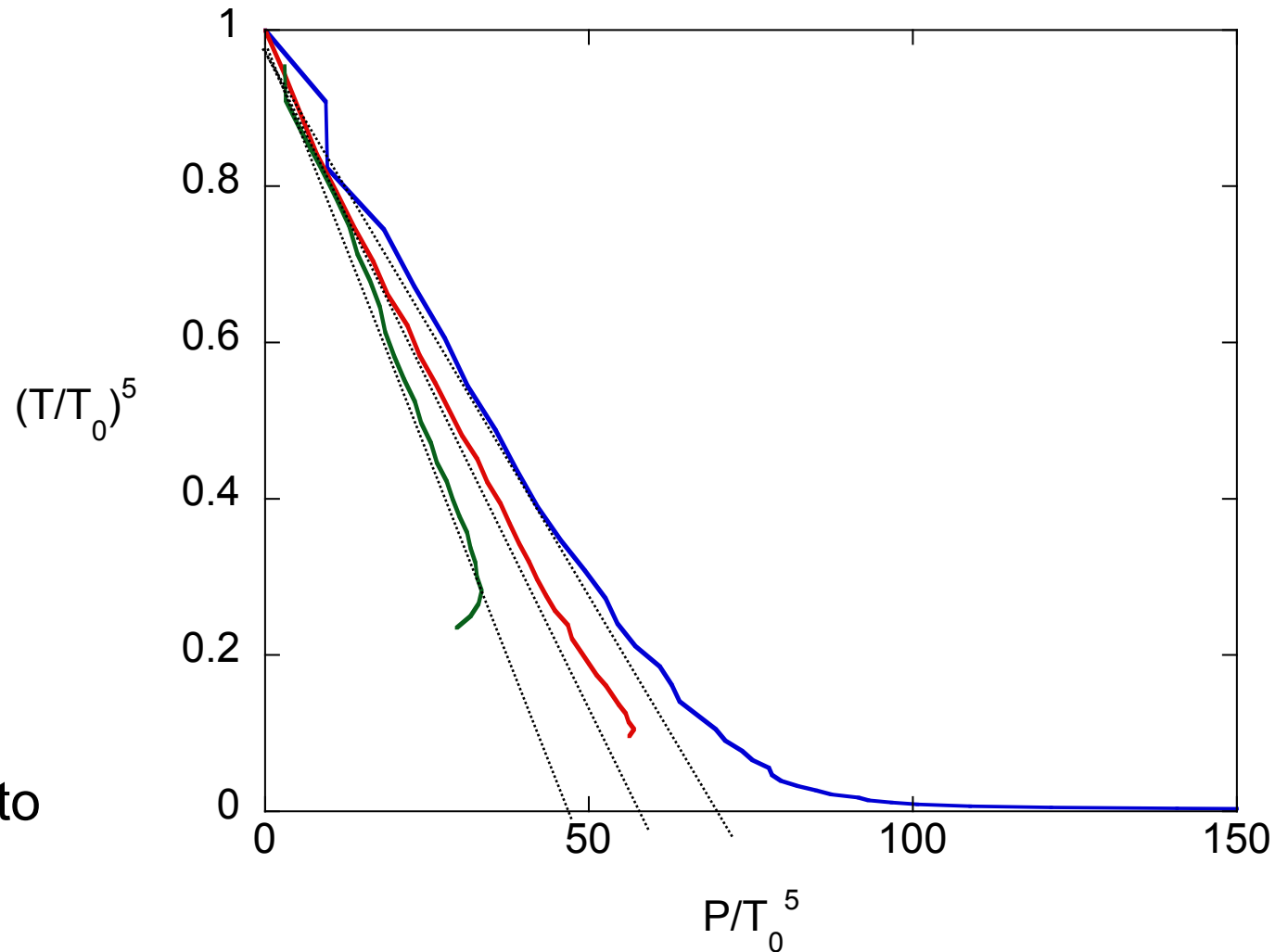
$$P_{\text{cool}} = \Sigma U (T_{\text{e}}^5 - T_{\text{bath}}^5)$$



$$\left( \frac{T_{\text{e}}}{T_{\text{bath}}} \right)^5 = 1 - \frac{1}{\Sigma U} \frac{P}{T_{\text{bath}}^5}$$

Fitted  $\Sigma$  varies from 0.8 to 1.2 nW. $\mu\text{m}^{-3}.\text{K}^{-5}$  !

Need to consider that phonon temperature  $T_{\text{ph}}$  varies.



# The thermal model

Power flow from N electrons to the S electrodes remaining at bath temperature

$$P_{\text{cool}}(V) = \frac{1}{eR_n} \int_{-\infty}^{+\infty} (E - eV) N_S(E) [f_S(E) - f_N(E + eV)] dE$$



Electron - phonon coupling

$$P_{e-ph} = \Sigma U(T_{ph}^5 - T_e^5)$$

N phonons can be cooled

Kapitza thermal coupling

$$P_K = KA(T_{bath}^4 - T_{ph}^4)$$

Substrate phonons, T<sub>bath</sub>



# The phonon temperature

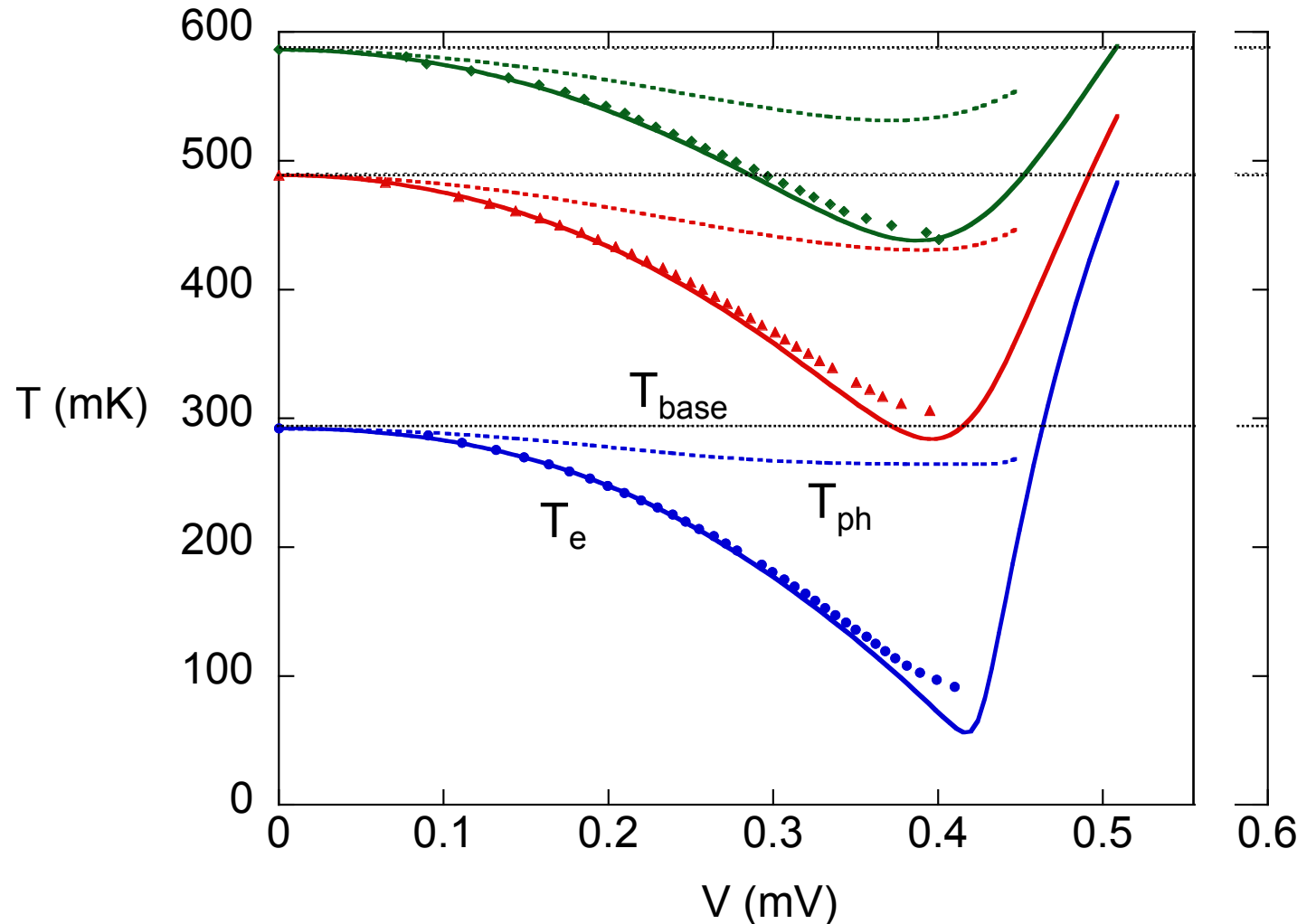
Two free fit parameters:

$$\Sigma = 2 \text{ nW} \cdot \mu\text{m}^{-3} \cdot \text{K}^{-5}$$

$$KA = 66 \text{ pW} \cdot \text{K}^{-4}$$

Determination of both  
electron ( $T_e$ ) and phonon  
( $T_{ph}$ ) temperature.

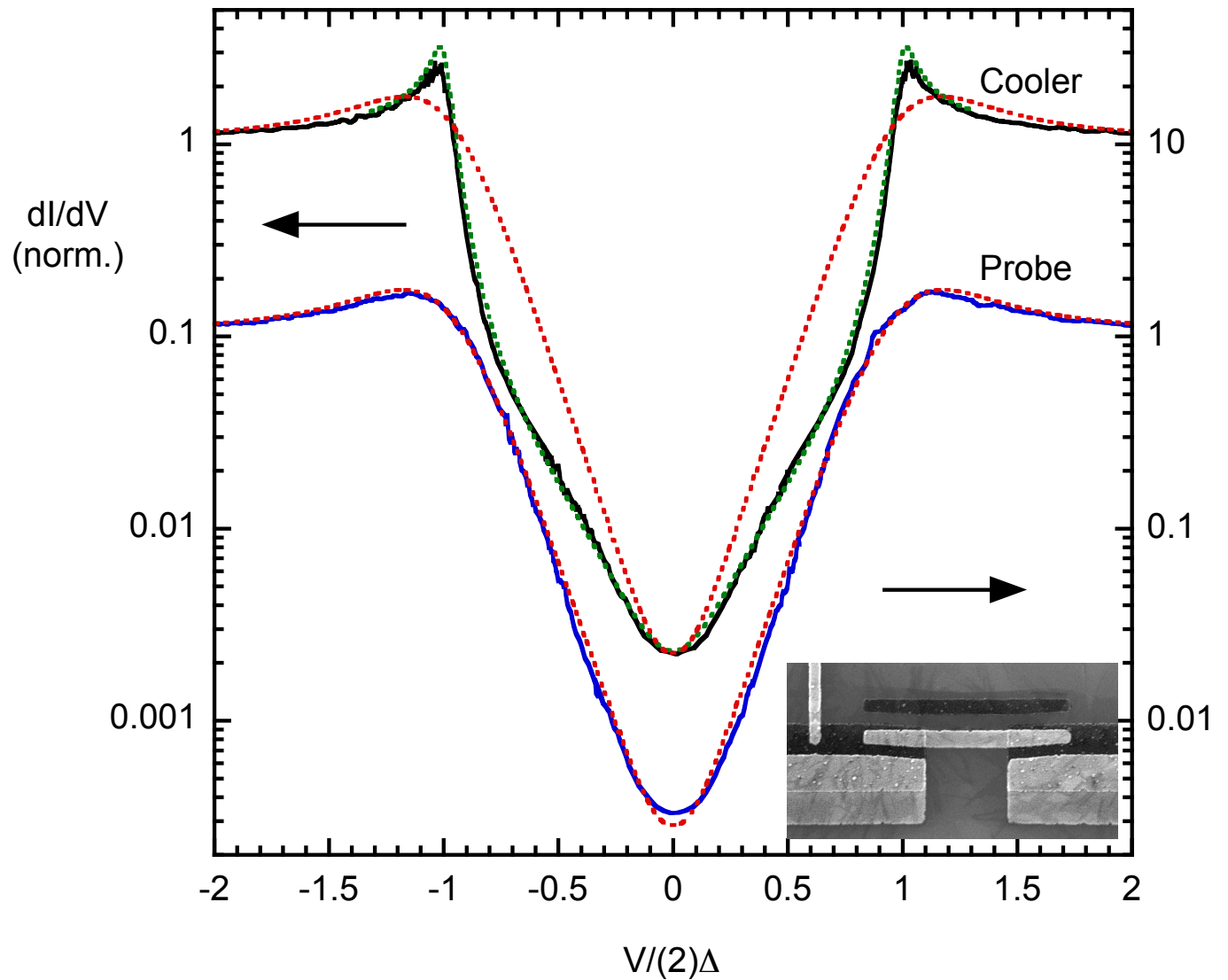
Phonons cool down by  
about 50 mK.



# The differential conductance (again)

Consistency check:

Fit of the cooler  
differential conductance.



Other approaches

# Quantum dot cooling (1)

Device = Drain – QD – « metal » - QD – Source

QD discrete energy spectrum open gaps, which acts as an energy filter, like the superconductor energy gap.

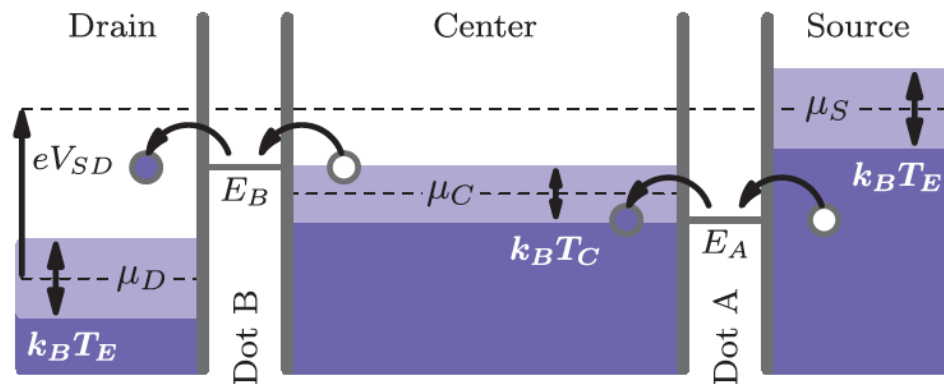


FIG. 1 (color online). QDR energies in the cooling regime. Thermal broadening in the three 2DEGs (source, center and drain) is shown by the light shading around their electrochemical potentials ( $\mu_S$ ,  $\mu_C$ ,  $\mu_D$ ). The net flow of an electron from source to drain removes an energy  $E_B - E_A$  from the center.  $E_A$  ( $E_B$ ) is the ground state addition energy of dot A (B).

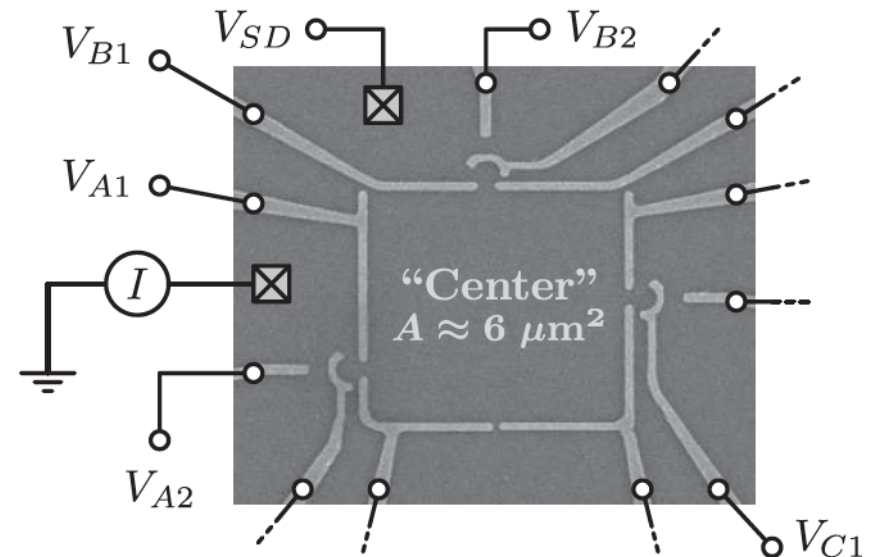


FIG. 2. SEM image of a typical device. Schematic measurement setup is also shown.

# Quantum dot cooling (2)

Electronic cooling visible in the current peak asymmetry.

Cooling from 280 to 190 mK.

J. R. Prance, C. G. Smith, J. P. Griffiths, S. J. Chorley, D. Anderson, G. A. C. Jones, I. Farrer and D. A. Ritchie, PRL 102, 106641 (2009): Cambridge.

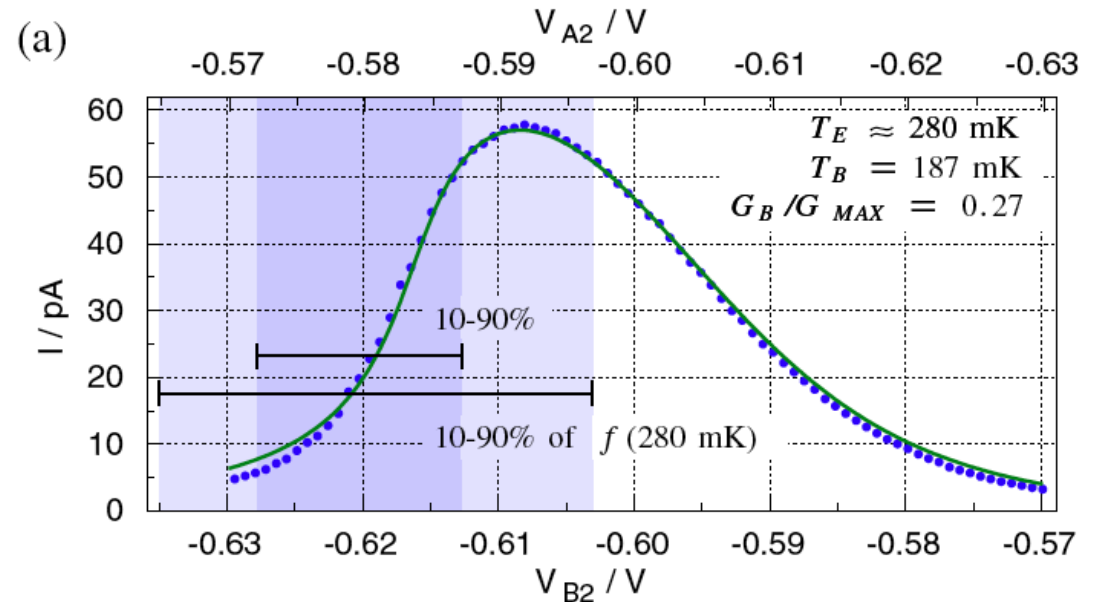


FIG. 5 (color online). Measurements of the QDR current while varying  $V_{A2}$  and  $V_{B2}$ .  $V_{SD} = 75 \mu\text{V}$ . The mixing chamber temperature and ambient electron temperature vary between plots. In (a) and (b) the solid line is a fit to the model described in the text. The fitted  $G_B$  is given as a fraction of the peak conductance ( $G_{MAX} = \max(I)/V_{SD}$ ). In (c) the solid line shows the best fit to the sum of two Gaussian peaks.

# Applications

# Applications (1)

Heat current of about  $10 \text{ pW}/\mu\text{m}^2$   
( $= 1 \text{ W}/\text{m}^2$ ).

Need for highly-transparent and large junctions for a large cooling power: UV litho. and etching.

AlMn is a normal metal with a natural barrier similar to Al.

A.M. Clark, N. A. Miller, A. Williams, S. T. Ruggiero, G. C. Hilton, L. R. Vale, J. A. Beall, K. D. Irwin, and J. N. Ullom, Appl. Phys. Lett. 84, 625 (2005): NIST Boulder.

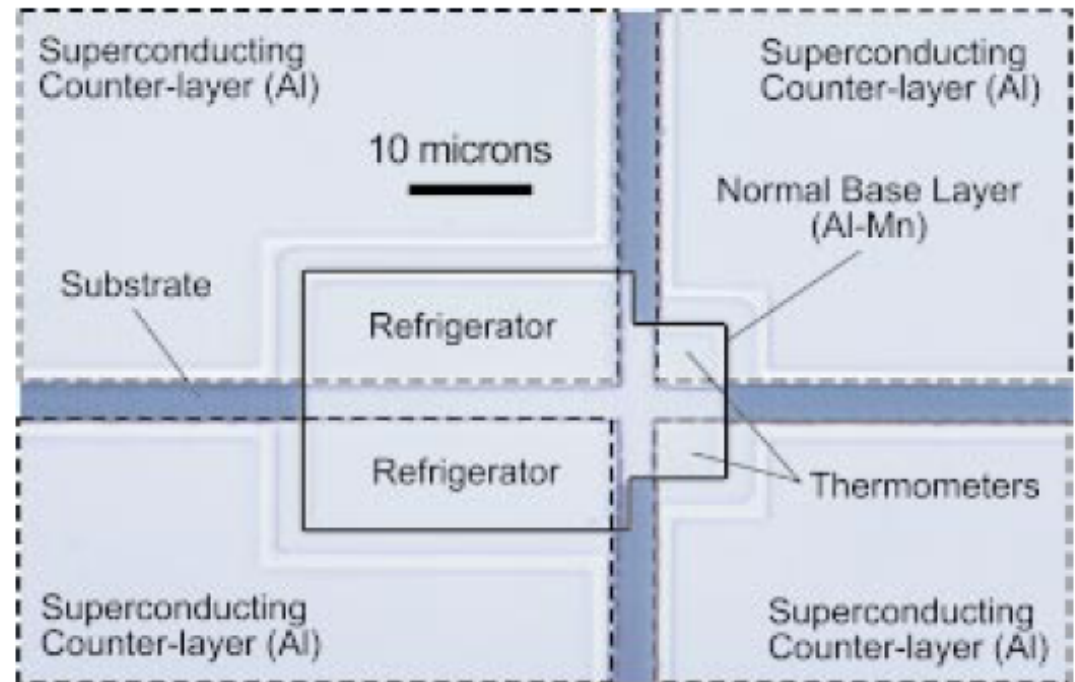


FIG. 2. (Color) Optical micrograph of device. Four superconducting counterelectrodes (outlined with dashes) contact the central normal base electrode (solid outline). An insulating layer of  $\text{SiO}_2$  separates the base and counterelectrodes. Vias in the  $\text{SiO}_2$  define the four NIS junctions. The device contains two refrigerator junctions,  $25 \mu\text{m}$  long and  $10 \mu\text{m}$  deep, and two thermometer junctions,  $5 \mu\text{m}$  on each side. Additional vias in the  $\text{SiO}_2$  provide access to normal quasiparticle traps located underneath the counter electrodes.

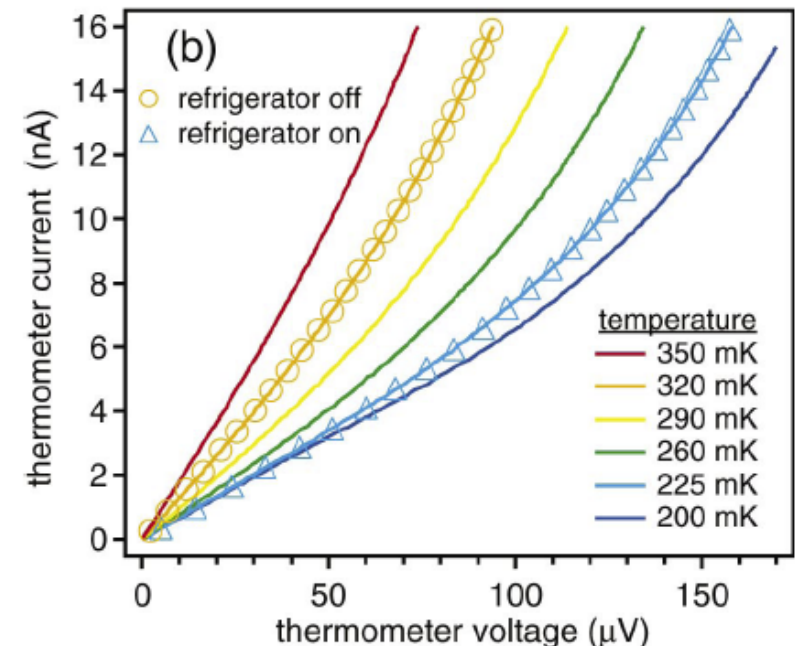
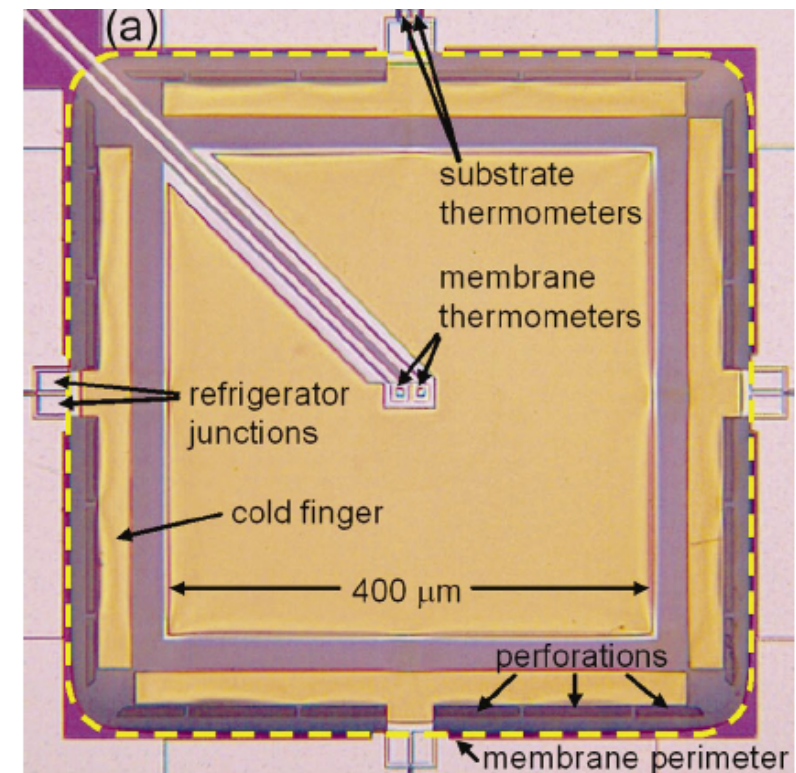


## Applications (2)

Relevant for on chip cooling of detectors, quantum devices ...

Membrane technology provides a thermal decoupling to the bath.

FIG. 1. (Color) (a) Optical micrograph of NIS refrigerator. Four pairs of refrigerator junctions surround a micromachined  $\text{Si}_3\text{N}_4$  membrane. Cold fingers extend from the refrigerator junctions onto the membrane. Pairs of thermometer junctions are located at the center of the membrane and on the substrate. A 200 nm layer of Au (orange) covers the Al-Mn of the cold fingers and the center of the membrane for better thermal conductivity. There is no electrical connection between the cold fingers and the circuitry in the center of the membrane. (b) Lines show measured current-voltage curves of the membrane thermometer junctions at ADR temperatures from 350 to 200 mK. Markers show measured current-voltage curves of the membrane thermometer junctions at an ADR temperature of 320 mK with the refrigerator junctions unbiased (turned off) and biased (turned on). It can be seen that the refrigerator cools the membrane from 320 to 225 mK.





# Applications (3)

A NTD bolometer is cooled from 320 mK down to 225 mK.

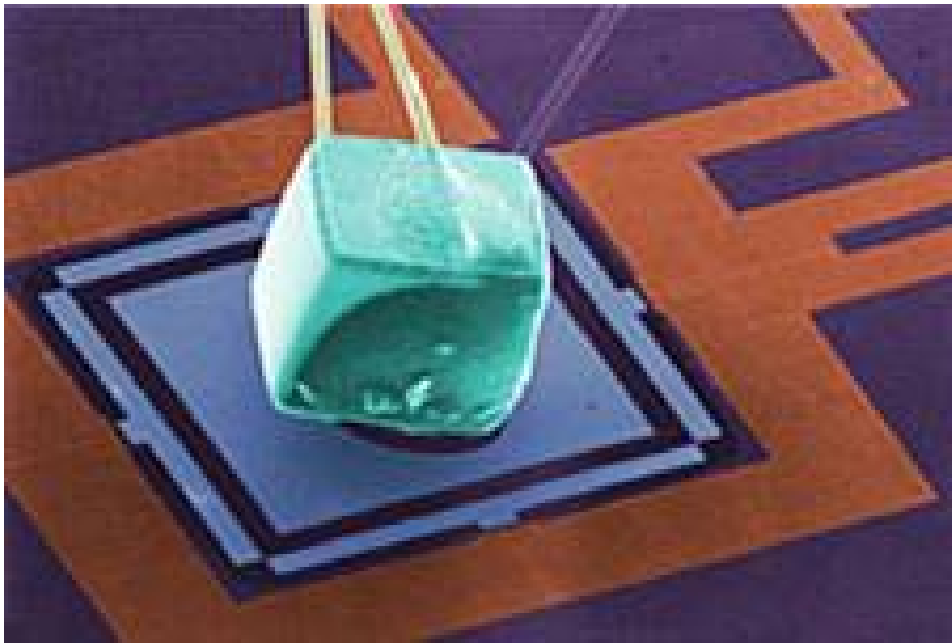


FIG. 2. Scanning electron microscope image of NIS refrigerator with attached neutron transmutation doped (NTD) germanium resistance thermometer. One of the four pairs of refrigerator junctions is circled. Additional junctions for thermometry are located beneath the NTD. The ratio of the volumes of the NTD and the refrigerating junctions is comparable to the ratio of the volumes of the Statue of Liberty and an ordinary person (about 11 000).

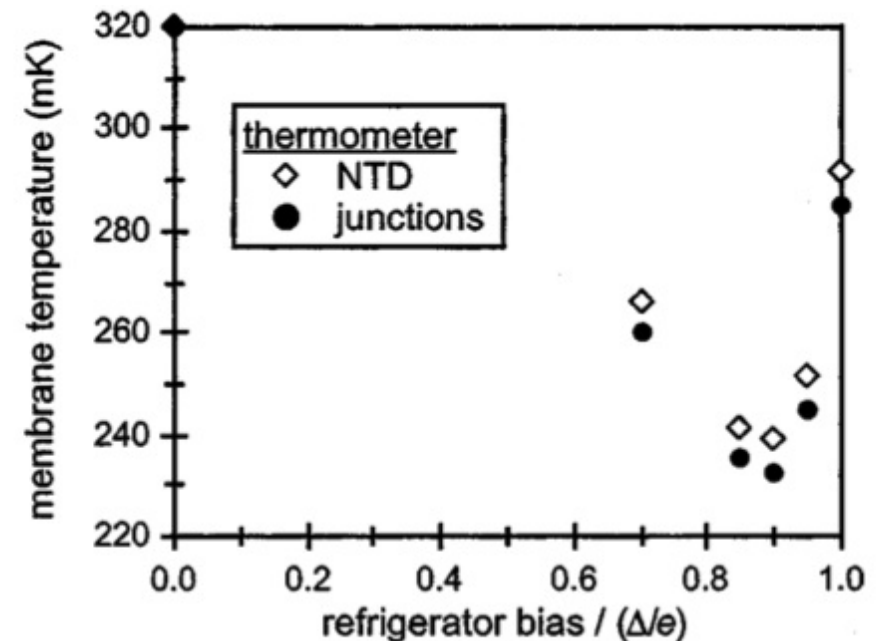


FIG. 3. Measured membrane temperature plotted vs refrigerator bias. Temperature measurements are shown for the NTD thermometer and a junction pair underneath the NTD. The peak cooling of the NTD corresponds to a tripling of its physical resistance. The peak cooling occurs for refrigerator bias values near  $0.9\Delta/e$ , as expected.

# Cooling efficiency

Dissipated power (by the bias source) in the superconductor :  $I.V$

Cooling power in the normal metal :  $P_{\text{cool}} \approx I(\Delta - eV)$

At the optimum bias, thermal qp overcome the gap :  $\Delta - eV \approx k_B T_e$

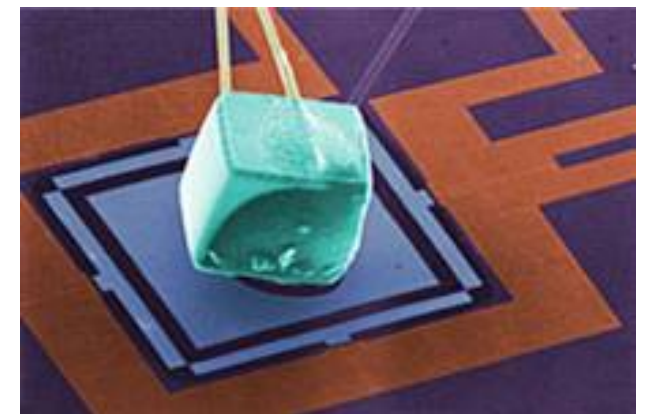
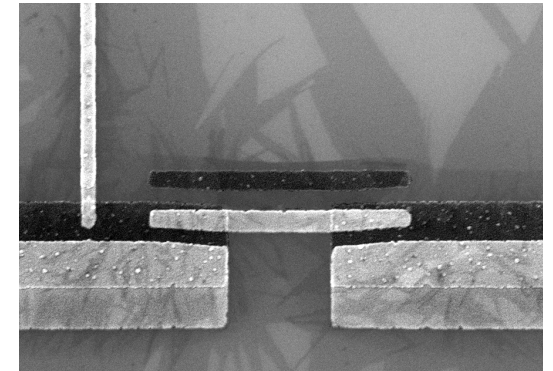
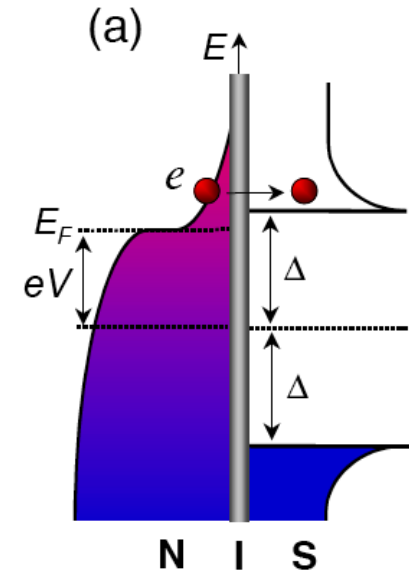
Efficiency  $\eta = \frac{P_{\text{cool}}}{I.V} \approx \frac{\Delta - eV}{\Delta} \approx \frac{T_e}{T_c}$

$\Delta = 1.76 k_B T_c$ ;  $T_c = 1.3 \text{ K}$ ;  $T_e = 0.1 \text{ K} \Rightarrow \eta = 4 \%$

Rather low efficiency at low temperature,  
Energy dissipation in the superconductor is a major issue.

# Conclusion

- Why cooling several kilograms while a nanogram (the detector) is enough ?
- Electron and phonon cooling in N-I-S nano-junctions : out of equilibrium effects.
- Applications based on membrane technology, or use the cooled electrons population as the sensor.



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